

UNITED STATES OF AMERICA  
BEFORE THE  
FEDERAL ENERGY REGULATORY COMMISSION

Public Citizen, Inc.	)	
	)	
v.	)	Docket No. EL 18-_____
	)	
PJM Interconnection LLC	)	

February 20, 2018

**Section 206 Complaint of Public Citizen, Inc.**

Public Citizen, Inc. files this complaint pursuant to Section 206 of the Federal Power Act (FPA) and the Commission’s rules thereunder seeking action by the Federal Energy Regulatory Commission (FERC) against PJM Interconnection LLC for potential violations of the Federal Power Act’s requirement that all rates be “just and reasonable”—including the rate FERC authorizes PJM to charge in order to recover the RTO’s expenses.

Public Citizen has identified at least \$456,500 in campaign contributions made by PJM Interconnection to political action committees for the purpose of financing partisan electoral politics that have not been disclosed to FERC or PJM’s stakeholders. Additionally, Public Citizen has recorded millions of dollars in PJM lobbying expenditures using at least five different lobbying firms that also have not been disclosed to FERC or stakeholders, contrary to Commission precedent.

PJM Interconnection utilizes myriad subsidiaries including several non-FERC jurisdictional subsidiaries, and PJM may finance these political activities through those subsidiaries rather than through its filed rate. PJM’s reporting to its stakeholders and FERC does not provide details adequate to determine which political spending uses filed-rate revenues and which does not. Regardless of whether donations from PJM Interconnection to the political action committees used revenues from filed rates, the contributions were made in the name of PJM Interconnection and thereby convey tacit endorsement by PJM’s members, even though it is clear that PJM members did not provide their consent.

To ensure just and reasonable rates and compliance with prior Commission precedent, FERC must require PJM to itemize and disclose all political-related spending, including campaign contributions and lobbying expenses, and clearly document which political expenses are funded through filed rates. If PJM is financing partisan political activities through its filed rate, then such funding is unjust and unreasonable.

Public Citizen is unable to directly address the issues raised in this complaint in PJM's internal stakeholder process because PJM informed Public Citizen in 2015 that Public Citizen is not qualified to serve as a PJM member. PJM's decision to bar Public Citizen from membership prevents Public Citizen from meaningfully participating in PJM's stakeholder process. As a result, Public Citizen's only recourse is Section 206.

### **About Public Citizen**

Public Citizen is a 501(c) research and advocacy organization representing the interests of more than 400,000 members and supporters across the country. Our annual reports and Form 990 financials filed with the Internal Revenue Service can be accessed at our web site.<sup>1</sup> We routinely intervene and participate in FERC proceedings and dockets.

### **Conflict with PJM Political Activities, Prior FERC Orders and the FPA**

The Commission has ruled that "activities such as participation in Political Action Committees [and] candidate fundraising ... are clearly not recoverable lobbying activities."<sup>2</sup> In addition, FERC has ordered transparency standards for an RTO's recoverable lobbying expenditures:

*...to provide greater transparency to [RTO] stakeholders and allow them to achieve a clear understanding of the nature of such expenditures, the Commission will require [the RTO] to prepare and post on its website a monthly report concerning "external affairs" and "corporate communications." The report, among other things, should identify all meetings (including those conducted by telephone) held in the past month by*

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<sup>1</sup> [www.citizen.org/about/annual-report](http://www.citizen.org/about/annual-report)

<sup>2</sup> 117 FERC ¶ 61,070, at 41.

*or on behalf of [the RTO] with any public official, including those in the legislative or executive branches of federal or state government, as well as a description of the attendees and the issues addressed during the meetings. This will give stakeholders the opportunity to review activities...<sup>3</sup>*

## PJM's Campaign Contributions

Public Citizen has identified at least \$456,500 in campaign contributions made by PJM Interconnection to two Political Action Committees: the Democratic

Contribution Date	Amount	Recipient
8/15/2017	\$ 25,000	Democratic Governors Assn
5/12/2017	\$ 25,000	Republican Governors Assn
6/21/2016	\$ 25,000	Democratic Governors Assn
6/17/2016	\$ 25,000	Republican Governors Assn
10/15/2015	\$ 25,450	Republican Governors Assn
6/22/2015	\$ 25,000	Democratic Governors Assn
12/16/2014	\$ 26,050	Democratic Governors Assn
11/10/2014	\$ 25,450	Republican Governors Assn
11/4/2013	\$ 25,450	Republican Governors Assn
7/8/2013	\$ 25,700	Democratic Governors Assn
6/5/2012	\$ 25,000	Democratic Governors Assn
4/23/2012	\$ 25,000	Republican Governors Assn
11/18/2011	\$ 25,450	Republican Governors Assn
6/1/2011	\$ 25,250	Democratic Governors Assn
10/20/2010	\$ 25,450	Republican Governors Assn
9/30/2010	\$ 25,500	Democratic Governors Assn
10/21/2009	\$ 25,750	Democratic Governors Assn
10/16/2008	\$ 25,750	Democratic Governors Assn
10/25/2007	\$ 250	Democratic Governors Assn
<b>Total PJM</b>	<b>\$ 456,500</b>	

Governors Association and the Republican Governors Association. On their respective 2017 U.S. Internal Revenue Service Forms 8871,<sup>4</sup> the Democratic Governors Association lists its mission statement as supporting "the election of Democratic Governors and other non-federal candidates," and the Republican Governors Association lists its purpose as "to assist in the election of Republican gubernatorial candidates and the reelection

of incumbent Republican Governors."

Beginning in October 2007, and most recently in August of 2017, PJM Interconnection paid for at least \$456,500 in contributions to both the Democratic Governors Association and the Republican Governors Association. Public Citizen catalogued these contributions through searches of the IRS 527 database,<sup>5</sup> and has

<sup>3</sup> 117 FERC ¶ 61,070, at 52.

<sup>4</sup> <https://forms.irs.gov/app/pod/basicSearch/search>

<sup>5</sup> <https://forms.irs.gov/app/pod/basicSearch/search>

attached copies of each of the PJM Interconnection contributions to this filing as Exhibit A.

Campaign contributions made in the name of PJM Interconnection imply the endorsement of its members. That's why PJM's Code of Conduct explicitly limits the ability of its own employees to make contributions to political action committees that appear to be attributable to PJM. Page 21 of PJM's Code of Conduct, *Political and Charitable Activities*, outlines various restrictions on the ability of PJM employees to engage in political activities, including prohibitions on PJM employees making political contributions "as a representative of" PJM, and bans employees from participating "in political activities while wearing the PJM logo on their clothing." <sup>6</sup>

### **PJM's Filed Rate**

Schedule 9 of the PJM Open Access Transmission Tariff describes how the costs of the operation of PJM Interconnection LLC can be recovered from transmission customers and other users.<sup>7</sup> Manual 33 describes allowable administrative services authorized under the Operating Agreement: the purpose of PJM is "to facilitate coordinated operation of their electric systems and interchange of electric capacity and energy, to ensure the efficient operation of an energy market based on the PJM Open Access Transmission Tariff."<sup>8</sup>

The Operating Agreement details the role the independent Finance Committee has to oversee PJM's revenues and expenses: "The purpose of the PJM Finance Committee is to review PJM's consolidated financial statements, budgeted and actual capital costs, operating budgets and expenses."<sup>9</sup> Public Citizen contacted multiple members of PJM's Finance Committee, and we were informed that PJM does not provide any detail on any of its political spending to the Finance Committee.

A review of archived Finance Committee meetings posted on PJM's web site reveals no details on campaign contributions or lobbying expenditures by PJM

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<sup>6</sup> [www.pjm.com/about-pjm/who-we-are/employee-code.aspx](http://www.pjm.com/about-pjm/who-we-are/employee-code.aspx)

<sup>7</sup> [www.pjm.com/directory/merged-tariffs/oatt.pdf](http://www.pjm.com/directory/merged-tariffs/oatt.pdf)

<sup>8</sup> [www.pjm.com/-/media/documents/manuals/m33.ashx](http://www.pjm.com/-/media/documents/manuals/m33.ashx)

<sup>9</sup> Section 7.5.1(b), [www.pjm.com/directory/merged-tariffs/oa.pdf](http://www.pjm.com/directory/merged-tariffs/oa.pdf)

Interconnection,<sup>10</sup> and the paltry archived meeting records posted by PJM provide little to no useful information on the Committee's deliberations. The archived recordkeeping PJM utilizes to memorialize stakeholder activities is sparse, haphazard and omits details of discussions. PJM's failure to record or transcribe stakeholder meetings where proposed tariffs and rates are deliberated is no longer an acceptable practice in the year 2018, as technology has enabled inexpensive recording and archiving tools to preserve the full record of stakeholder meetings. We have more detail from the fossil record of the *Brontosaurus* from the Late Jurassic epoch 150 million years ago than is contained in PJM stakeholder committee meeting minutes from 6 months ago.

PJM Interconnection routinely leaves blank the line for Account 426.4 ("Exp. for Certain Civic, Political & Related Activities") on its Form 1 annual report filed with FERC, despite the numerous lobbying expenditures and campaign contributions attributed to PJM Interconnection. Unless all of these political expenditures are financed by non-filed rate resources, PJM Interconnection should be disclosing these expenses on its Form 1.

It is important to note that the \$456,500 in campaign contributions that Public Citizen identified may not be the full universe of such payments. As part of this proceeding, PJM Interconnection must be required to provide a full accounting of all political contributions.

PJM obtains some of its revenue from a non-FERC-jurisdictional subsidiary, PJM Technologies, Inc., which in turn has two subsidiaries, PJM Environmental Information Services, Inc. and PJM EnviroTrade, Inc. All political action committee contributions and lobbying expenditures are made in the name of PJM Interconnection, not in the name of any non-FERC-jurisdictional subsidiary.

### **FERC Must Require PJM to Post Monthly Reports to Its Public Website Detailing all Political Spending**

PJM Interconnection does not disclose to FERC or its Finance Committee any details about lobbying expenditures or political action committee contributions, in potential violation of its Operating Agreement and FERC precedent. Limited

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<sup>10</sup> [www.pjm.com/committees-and-groups/committees/fc.aspx](http://www.pjm.com/committees-and-groups/committees/fc.aspx)

information about PJM's federal lobbying expenditures is available in a Congressional database,<sup>11</sup> and using that database Public Citizen has identified two outside lobbying firms retained by PJM: Ray Billups and Ogilvy Government Relations. Searches of state databases reveal at least three outside lobbying firms hired by PJM Interconnection: Vectre Corporation, Squire Patton Boggs, and Greenlee Partners, LLC.

Ray Billups first registered to lobby on behalf of PJM on January 17, 2008,<sup>12</sup> and since then PJM Interconnection has paid Mr. Billups at least \$540,000. None of these contracts has been disclosed to PJM's Finance Committee as required by PJM's Operating Agreement; no detail of their purpose or terms has been disclosed to the Finance Committee as required by PJM's Operating Agreement; and no status reports have ever been provided by Mr. Billups to the PJM Finance Committee to explain exactly what kind of advocacy Mr. Billups performs for PJM, as required by the Operating Agreement.

Ogilvy Government Relations ("Ogilvy") registered to lobby for PJM beginning on August 9, 2002.<sup>13</sup> PJM Interconnection has paid Ogilvy at least \$3.1 million in total—or \$200,000 every year since 2004. Ogilvy's contracts have not been disclosed to PJM's Finance Committee; no detail of their purpose or terms has been disclosed to the Finance Committee; and no status reports have ever been provided by Ogilvy to the PJM Finance Committee to explain exactly what kind of advocacy Ogilvy performs for PJM.

PJM has hired outside firms to lobby on its behalf in at least three states. On May 2, 2017, Philip F. Abraham of Vectre Corporation registered to lobby in the Commonwealth of Virginia on behalf of PJM Interconnection.<sup>14</sup> In 2017, PJM Interconnection paid two lobbyists from Squire Patton Boggs—Timothy Cosgrove and Nathanael Jonhenry—to lobby in Ohio.<sup>15</sup> In Pennsylvania, PJM has 10 lobbyists from Greenlee Partners, LLC: Kara Beem, William Bova, Clint Cullison, Benjamin

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<sup>11</sup> <https://soprweb.senate.gov/index.cfm?event=selectfields>

<sup>12</sup> <https://soprweb.senate.gov/index.cfm?event=getFilingDetails&filingID=70AD7A57-8862-4C16-856D-D97A900CDA51&filingTypeID=1>

<sup>13</sup> <https://soprweb.senate.gov/index.cfm?event=getFilingDetails&filingID=4959E3B3-1119-4C86-938A-3BE2DB6F894E&filingTypeID=1>

<sup>14</sup> <http://ethicssearch.dls.virginia.gov/ViewFormBinary.aspx?filingid=27426&type=LD>

<sup>15</sup> [www2.jlec-olig.state.oh.us/olac/Reports/AER\\_Employer\\_Executive.aspx?id=633588](http://www2.jlec-olig.state.oh.us/olac/Reports/AER_Employer_Executive.aspx?id=633588)

Dannels, Frank Gates, Ken Rapp, Stanley Rapp, Matthew Steck, Frank Tulli, Jr., and Andrew Zwally.<sup>16</sup> Since states vary in their reporting requirements for lobbyists, this should be considered an incomplete list.

### **PJM Shares a DC Lobbying Office Law Firm Wright & Tailsman**

On lobbying materials, PJM lobbyist Craig Glazer lists his address as 1200 G St NW Suite 600. This is the same address listed for the law firm Wright & Tailsman, P.C. Wright & Tailsman registered as PJM's lobbyist on November 12, 1999,<sup>17</sup> but filed a lobbying termination effective December 31, 2005.<sup>18</sup> Wright & Tailsman currently provides legal services for PJM, and it is possible that this arrangement includes hosting or assisting PJM's own direct lobbyist. FERC should compel PJM to provide more information about the nature of its legal or lobbying arrangements with Wright & Tailsman.

Per 18 CFR § 385.206, Public Citizen provides additional information not already addressed in the complaint:

**State whether the issues presented are pending in an existing Commission proceeding or a proceeding in any other forum in which the complainant is a party, and if so, provide an explanation why timely resolution cannot be achieved in that forum.**

This issues presented in this complaint are not pending in any other proceeding.

**State whether Enforcement Hotline, ADR, etc. or other informal dispute procedures were used or why not; whether ADR could successfully resolve the complaint.**

Public Citizen is asking for actions to be taken by the Commission, including statutory interpretations. Informal dispute procedures are not applicable.

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<sup>16</sup> [www.palobbyingservices.pa.gov/Public/wfSearch.aspx](http://www.palobbyingservices.pa.gov/Public/wfSearch.aspx)

<sup>17</sup> <https://soprweb.senate.gov/index.cfm?event=getFilingDetails&filingID=804A4DA8-9F9E-478C-80B1-E67D065D66EA&filingTypeID=1>

<sup>18</sup> <https://soprweb.senate.gov/index.cfm?event=getFilingDetails&filingID=BB0A48C9-99C6-4D19-AE8F-939BD1C28694&filingTypeID=12>

**State the specific relief or remedy requested, including any request for stay or extension of time, and the basis for that relief.**

In order to ensure just and reasonable rates and compliance with prior FERC precedent, FERC must require PJM to itemize and disclose all political-related spending, including campaign contributions and lobbying expenses, and clearly document which political expenses are funded through filed rates. Once that disclosure is complete, further proceedings may be necessary. Public Citizen requests that the Commission set this complaint for notice and comment, and after the conclusion of the comment period, set the matter for a hearing to address PJM's political spending that violates just and reasonable rates.

Respectfully submitted,

Tyson Slocum  
David Arkush  
Public Citizen, Inc.  
215 Pennsylvania Ave SE  
Washington, DC 20003  
(202) 588-1000  
tslocum@citizen.org  
darkush@citizen.org



UNITED STATES OF AMERICA  
BEFORE THE  
FEDERAL ENERGY REGULATORY COMMISSION

Public Citizen, Inc.	)	
	)	
v.	)	Docket No. EL 18-_____
	)	
PJM Interconnection LLC	)	

**Notice of Complaint**

February \_\_\_\_, 2018

Take notice that on February 20, 2018, pursuant to section 206 of the Federal Power Act ("FPA"), 16 U.S.C. 824e and 824d and Rule 206 of the Federal Energy Regulatory Commission's (Commission) Rules of Practice and Procedure, 18CFR 385.206, Public Citizen, Inc. (Complainant) filed a formal complaint against PJM Interconnection, Inc., (Respondent), alleging that Respondent has failed to disclose millions of dollars in electoral campaign contributions and lobbying expenditures to its stakeholders or to FERC, in violation of Commission precedent and potential violation of just and reasonable rates. Complainant asks that the Commission require PJM to itemize and disclose all political-related spending, including campaign contributions and lobbying expenses, and clearly document which political expenses are funded through filed rates under Section 206 of the FPA.

The Complainant certifies that a copy of the complaint has been served on the Respondent.

Any person desiring to intervene or to protest this filing must file in accordance with Rules 211 and 214 of the Commission's Rule of Practice and Procedure (18 CFR 385.211, 385.214). Protests will be considered by the Commission in determining the appropriate action to be taken, but will not serve to make protestants parties to the proceeding. Any person wishing to become a party must file a notice of intervention or motion to intervene, as appropriate. The Respondent's answer and all interventions, or protests must be filed on or before

the comment date. The Respondent's answer, motions to intervene and protests must be serve on the Complainant.

Comment Date: 5 pm Eastern Time on \_\_\_\_\_, 2018.

Kimberly D. Bose,  
Secretary

# **Exhibit A**

## **Campaign Contributions from PJM Interconnection to the Democratic Governors Association and the Republican Governors Association**

# Political Organization Report of Contributions and Expenditures

▶ See separate instructions.

**A** For the period beginning 07/01/2017 and ending 12/31/2017

**B** Check applicable box:  Initial report  Change of address  Amended report  Final report

**1** Name of organization: Democratic Governors Association  
**Employer identification number:** 52 - 1304889

**2** Mailing address (P.O. box or number, street, and room or suite number)  
1225 Eye Street NW Suite 1100

**City or town, state, and ZIP code**  
Washington, DC 20005

**3** E-mail address of organization: compliance@dga.net  
**4** Date organization was formed: 07/01/1983

**5a** Name of custodian of records: Stephen Hill  
**5b** Custodian's address: 1225 Eye Street NW Suite 1100  
Washington, DC 20005

**6a** Name of contact person: Stephen Hill  
**6b** Contact person's address: 1225 Eye Street NW Suite 1100  
Washington, DC 20005

**7** Business address of organization (if different from mailing address shown above). Number, street, and room or suite number  
1225 Eye Street NW Suite 1100

**City or town, state, and ZIP code**  
Washington, DC 20005

**8** Type of report (check only one box)

- First quarterly report (due by April 15)
- Second quarterly report (due by July 15)
- Third quarterly report (due by October 15)
- Year-end report (due by January 31)
- Mid-year report (Non-election year only-due by July 31)
- Monthly report for the month of: (due by the 20th day following the month shown above, except the December report, which is due by January 31)
- Pre-election report (due by the 12th or 15th day before the election)
  - (1) Type of election:
  - (2) Date of election:
  - (3) For the state of:
- Post-general election report (due by the 30th day after general election)
  - (1) Date of election:
  - (2) For the state of:

**9** Total amount of reported contributions (total from all attached Schedules A) ..... **9.** \$ 16058329

**10** Total amount of reported expenditures (total from all attached Schedules B) ..... **10.** \$ 16991829

Under penalties of perjury, I declare that I have examined this report, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Stephen Hill

01/31/2018

**Sign Here**



Signature of authorized official



Date

<b>Contributor's name, mailing address and ZIP code</b> Mary Ring 12833 Warner Hill Rd South Wales, NY 14139 - 9744	<b>Name of contributor's employer</b> Self-Employed <b>Contributor's occupation</b> Social Worker <b>Aggregate contributions year-to-date</b> \$ 1000	<b>Amount of contribution</b> \$ 100 <b>Date of contribution</b> 11/07/2017
<b>Contributor's name, mailing address and ZIP code</b> Helen Ackerman 4800 Fillmore Ave Apt 549 Alexandria, VA 22311 - 5075	<b>Name of contributor's employer</b> N A <b>Contributor's occupation</b> Retired <b>Aggregate contributions year-to-date</b> \$ 500	<b>Amount of contribution</b> \$ 100 <b>Date of contribution</b> 07/03/2017
<b>Contributor's name, mailing address and ZIP code</b> Noel B Rowe 1411 Shannock Rd Charlestown, RI 02813 - 3726	<b>Name of contributor's employer</b> N A <b>Contributor's occupation</b> Retired <b>Aggregate contributions year-to-date</b> \$ 280	<b>Amount of contribution</b> \$ 70 <b>Date of contribution</b> 08/14/2017
<b>Contributor's name, mailing address and ZIP code</b> Joan W Coffman 16 Rockledge Ave Ossining, NY 10562 - 5966	<b>Name of contributor's employer</b> N A <b>Contributor's occupation</b> Retired <b>Aggregate contributions year-to-date</b> \$ 205	<b>Amount of contribution</b> \$ 30 <b>Date of contribution</b> 11/14/2017
<b>Contributor's name, mailing address and ZIP code</b> Lea Cloud 1126 Garden St Hoboken, NJ 07030 - 4305	<b>Name of contributor's employer</b> Cdr Studio Architects Pc <b>Contributor's occupation</b> Architect <b>Aggregate contributions year-to-date</b> \$ 300	<b>Amount of contribution</b> \$ 50 <b>Date of contribution</b> 07/02/2017
<b>Contributor's name, mailing address and ZIP code</b> Ardath Andrews 965 Daniel St Sebastopol, CA 95472 - 2707	<b>Name of contributor's employer</b> N A <b>Contributor's occupation</b> Retired <b>Aggregate contributions year-to-date</b> \$ 300	<b>Amount of contribution</b> \$ 25 <b>Date of contribution</b> 08/10/2017
<b>Contributor's name, mailing address and ZIP code</b> David Nelson 470 Columbia Cir Pasadena, CA 91105 - 3306	<b>Name of contributor's employer</b> N A <b>Contributor's occupation</b> Retired <b>Aggregate contributions year-to-date</b> \$ 250	<b>Amount of contribution</b> \$ 100 <b>Date of contribution</b> 11/02/2017
<b>Contributor's name, mailing address and ZIP code</b> Shirley G Patterson 8525 120th St Apt 5D Kew Gardens, NY 11415 - 3140	<b>Name of contributor's employer</b> N A <b>Contributor's occupation</b> Retired <b>Aggregate contributions year-to-date</b> \$ 210	<b>Amount of contribution</b> \$ 30 <b>Date of contribution</b> 07/27/2017
<b>Contributor's name, mailing address and ZIP code</b> Stephen Jendras 11 Oak Hill Dr Monroe Township, NJ 08831 - 1182	<b>Name of contributor's employer</b> Jendras Capital Corporation <b>Contributor's occupation</b> Finance <b>Aggregate contributions year-to-date</b> \$ 250	<b>Amount of contribution</b> \$ 250 <b>Date of contribution</b> 10/15/2017
<b>Contributor's name, mailing address and ZIP code</b> Douglas R Miller 1409 N Fruitdale Rd Morgantown, IN 46160 - 9100	<b>Name of contributor's employer</b> Douglas R Miller Transportation <b>Contributor's occupation</b> Self-Employed <b>Aggregate contributions year-to-date</b> \$ 300	<b>Amount of contribution</b> \$ 100 <b>Date of contribution</b> 12/04/2017
<b>Contributor's name, mailing address and ZIP code</b> PJM Interconnection 2750 Monroe Blvd Audubon, PA 19403 - 2429	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 25000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 08/15/2017

# Political Organization Report of Contributions and Expenditures

▶ See separate instructions.

**A For the period beginning** 01/01/2017 **and ending** 06/30/2017

**B Check applicable box:**  Initial report  Change of address  Amended report  Final report

**1 Name of organization** Republican Governors Association **Employer identification number** 11 - 3655877

**2 Mailing address (P.O. box or number, street, and room or suite number)**  
1747 Pennsylvania Avenue NW Suite 250

**City or town, state, and ZIP code**  
Washington, DC 20006

**3 E-mail address of organization:** madams@rga.org **4 Date organization was formed:** 10/04/2002

**5a Name of custodian of records** Michael G. Adams **5b Custodian's address** 1747 Pennsylvania Avenue NW Suite 250  
Washington, DC 20006

**6a Name of contact person** Michael G. Adams **6b Contact person's address** 1747 Pennsylvania Avenue NW Suite 250  
Washington, DC 20006

**7 Business address of organization (if different from mailing address shown above). Number, street, and room or suite number**  
1747 Pennsylvania Avenue NW Suite 250

**City or town, state, and ZIP code**  
Washington, DC 20006

**8 Type of report (check only one box)**

- First quarterly report (due by April 15)
- Second quarterly report (due by July 15)
- Third quarterly report (due by October 15)
- Year-end report (due by January 31)
- Mid-year report (Non-election year only-due by July 31)
- Monthly report for the month of: (due by the 20th day following the month shown above, except the December report, which is due by January 31)
- Pre-election report (due by the 12th or 15th day before the election)
  - (1) Type of election:
  - (2) Date of election:
  - (3) For the state of:
- Post-general election report (due by the 30th day after general election)
  - (1) Date of election:
  - (2) For the state of:

**9 Total amount of reported contributions (total from all attached Schedules A)..... 9. \$ 30761413**

**10 Total amount of reported expenditures (total from all attached Schedules B)..... 10. \$ 11392485**

Under penalties of perjury, I declare that I have examined this report, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Michael G. Adams

07/12/2017

**Sign Here**



Signature of authorized official



Date

<b>Contributor's name, mailing address and ZIP code</b> HENRY AND YOUNG KIM 7001 WESTCOTT PL CLARKSVILLE, MD 21029	<b>Name of contributor's employer</b> ST KIM COMPANY <b>Contributor's occupation</b> PRESIDENT <b>Aggregate contributions year-to-date</b> \$ 10000	<b>Amount of contribution</b> \$ 10000 <b>Date of contribution</b> 05/23/2017
<b>Contributor's name, mailing address and ZIP code</b> PJM INTERCONNECTION 955 JEFFERSON AVENUE NORRISTOWN, PA 19403	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 25000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 05/12/2017
<b>Contributor's name, mailing address and ZIP code</b> SUNTRUST MID-ATLANTIC PAC 91 MAIN ST 2ND FLOOR ANNAPOLIS, MD 21401	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 2500	<b>Amount of contribution</b> \$ 2500 <b>Date of contribution</b> 06/02/2017
<b>Contributor's name, mailing address and ZIP code</b> RICHARD L. DUCHOSSOIS 65 SPRING CREEK RD BARRINGTON, IL 60010	<b>Name of contributor's employer</b> THE DUCHOSSOIS GROUP <b>Contributor's occupation</b> CHAIRMAN AMERITUS <b>Aggregate contributions year-to-date</b> \$ 50000	<b>Amount of contribution</b> \$ 50000 <b>Date of contribution</b> 06/30/2017
<b>Contributor's name, mailing address and ZIP code</b> CLAYTON HEIL 5927 OAKDALE RD MCLEAN, VA 22101	<b>Name of contributor's employer</b> ICE MILLER <b>Contributor's occupation</b> PRINCIPAL <b>Aggregate contributions year-to-date</b> \$ 1000	<b>Amount of contribution</b> \$ 1000 <b>Date of contribution</b> 06/30/2017
<b>Contributor's name, mailing address and ZIP code</b> AMERICAN GAS ASSOCIATION 400 N CAPITOL STREET, NW WASHINGTON, DC 20001	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 20000	<b>Amount of contribution</b> \$ 20000 <b>Date of contribution</b> 02/09/2017
<b>Contributor's name, mailing address and ZIP code</b> SUFFOLK CONSTRUCTION CO INC 65 ALLERTON STREET BOSTON, MA 02119	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 250000	<b>Amount of contribution</b> \$ 250000 <b>Date of contribution</b> 05/31/2017
<b>Contributor's name, mailing address and ZIP code</b> 3M COMPANY 3M CENTER BUILDING 0216-02-N-07 ST PAUL, MN 55144	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 40000	<b>Amount of contribution</b> \$ 40000 <b>Date of contribution</b> 03/24/2017
<b>Contributor's name, mailing address and ZIP code</b> ROBERT MARDIGIAN 555 CHASE LN BLOOMFIELD HILLS, MI 48304	<b>Name of contributor's employer</b> MCM MANAGEMENT <b>Contributor's occupation</b> PRESIDENT <b>Aggregate contributions year-to-date</b> \$ 2000	<b>Amount of contribution</b> \$ 2000 <b>Date of contribution</b> 05/25/2017
<b>Contributor's name, mailing address and ZIP code</b> AEP SERVICE CORPORATION PO BOX 24400 CANTON, OH 44701	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 100000	<b>Amount of contribution</b> \$ 50000 <b>Date of contribution</b> 03/21/2017
<b>Contributor's name, mailing address and ZIP code</b> CUSHMAN FAMILY TRUST 601 S. FIGUEROA ST 47TH FLOOR LOS ANGELES, CA 90017	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 25000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 01/10/2017

# Political Organization Report of Contributions and Expenditures

▶ See separate instructions.

**A For the period beginning** 04/01/2016 **and ending** 06/30/2016

**B Check applicable box:**  Initial report  Change of address  Amended report  Final report

**1 Name of organization** Democratic Governors Association **Employer identification number** 52 - 1304889

**2 Mailing address (P.O. box or number, street, and room or suite number)**  
1225 Eye Street NW Suite 1100

**City or town, state, and ZIP code**  
Washington, DC 20005

**3 E-mail address of organization:** compliance@dga.net **4 Date organization was formed:** 07/01/1983

**5a Name of custodian of records** Stephen Hill **5b Custodian's address**  
1225 Eye Street NW Suite 1100  
Washington, DC 20005

**6a Name of contact person** Stephen Hill **6b Contact person's address**  
1225 Eye Street NW Suite 1100  
Washington, DC 20005

**7 Business address of organization (if different from mailing address shown above). Number, street, and room or suite number**  
1225 Eye Street NW Suite 1100

**City or town, state, and ZIP code**  
Washington, DC 20005

**8 Type of report (check only one box)**

- First quarterly report (due by April 15)
- Second quarterly report (due by July 15)
- Third quarterly report (due by October 15)
- Year-end report (due by January 31)
- Mid-year report (Non-election year only-due by July 31)
- Monthly report for the month of: (due by the 20th day following the month shown above, except the December report, which is due by January 31)
- Pre-election report (due by the 12th or 15th day before the election)
  - (1) Type of election:
  - (2) Date of election:
  - (3) For the state of:
- Post-general election report (due by the 30th day after general election)
  - (1) Date of election:
  - (2) For the state of:

**9 Total amount of reported contributions (total from all attached Schedules A)..... 9. \$ 9308588**

**10 Total amount of reported expenditures (total from all attached Schedules B)..... 10. \$ 5158179**

Under penalties of perjury, I declare that I have examined this report, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Stephen Hill

12/22/2016

**Sign Here**



Signature of authorized official



Date



<b>Contributor's name, mailing address and ZIP code</b> Gilbert Gamboa 532 Tyrella Ave Apt 6 Mountain View, CA 94043 - 2181	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> Retired <b>Aggregate contributions year-to-date</b> \$ 400	<b>Amount of contribution</b> \$ 100 <b>Date of contribution</b> 05/13/2016
<b>Contributor's name, mailing address and ZIP code</b> Mary Harvey Dunn 1704 Foulkeways Gwynedd, PA 19436 - 1034	<b>Name of contributor's employer</b> Information Requested <b>Contributor's occupation</b> Information Requested <b>Aggregate contributions year-to-date</b> \$ 200	<b>Amount of contribution</b> \$ 200 <b>Date of contribution</b> 05/13/2016
<b>Contributor's name, mailing address and ZIP code</b> John D Pizer 17709 Lake Iris Ave Baton Rouge, LA 70817 - 7571	<b>Name of contributor's employer</b> LSU <b>Contributor's occupation</b> Professor <b>Aggregate contributions year-to-date</b> \$ 433	<b>Amount of contribution</b> \$ 100 <b>Date of contribution</b> 06/29/2016
<b>Contributor's name, mailing address and ZIP code</b> PJM Interconnection 955 Jefferson Ave Norristown, PA 19403 - 2410	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 25000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 06/21/2016
<b>Contributor's name, mailing address and ZIP code</b> Sunovion Pharmaceuticals Inc. 84 Waterford Dr Marlborough, MA 01752 - 7010	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 30000	<b>Amount of contribution</b> \$ 30000 <b>Date of contribution</b> 06/14/2016
<b>Contributor's name, mailing address and ZIP code</b> David E. Bertelson 9500 Osuna Rd NE Apt 1121 Albuquerque, NM 87111 - 2292	<b>Name of contributor's employer</b> Information Requested <b>Contributor's occupation</b> Information Requested <b>Aggregate contributions year-to-date</b> \$ 320	<b>Amount of contribution</b> \$ 160 <b>Date of contribution</b> 05/05/2016
<b>Contributor's name, mailing address and ZIP code</b> Martin T Ehmke 301 27th Dr NW Austin, MN 55912 - 1115	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> Retired <b>Aggregate contributions year-to-date</b> \$ 610	<b>Amount of contribution</b> \$ 50 <b>Date of contribution</b> 04/26/2016
<b>Contributor's name, mailing address and ZIP code</b> Michael Rich 10729 Chalon Rd Los Angeles, CA 90077 - 3314	<b>Name of contributor's employer</b> UCLA <b>Contributor's occupation</b> Astronomer <b>Aggregate contributions year-to-date</b> \$ 333	<b>Amount of contribution</b> \$ 333 <b>Date of contribution</b> 05/06/2016
<b>Contributor's name, mailing address and ZIP code</b> Francis G Cote 426 S Montana Ave Helena, MT 59601 - 5163	<b>Name of contributor's employer</b> Blue Cross Blue Shield of Montana <b>Contributor's occupation</b> Insurance <b>Aggregate contributions year-to-date</b> \$ 500	<b>Amount of contribution</b> \$ 500 <b>Date of contribution</b> 05/16/2016
<b>Contributor's name, mailing address and ZIP code</b> Giana Campbell 1011 Brick House Farm Ln Newtown Square, PA 19073 - 2783	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> Not Employed <b>Aggregate contributions year-to-date</b> \$ 300	<b>Amount of contribution</b> \$ 300 <b>Date of contribution</b> 06/08/2016
<b>Contributor's name, mailing address and ZIP code</b> Douglas A Robertson 9622 Kings Cross Sta Houston, TX 77045 - 1246	<b>Name of contributor's employer</b> Information Requested <b>Contributor's occupation</b> Information Requested <b>Aggregate contributions year-to-date</b> \$ 1004	<b>Amount of contribution</b> \$ 520 <b>Date of contribution</b> 05/31/2016

# Political Organization Report of Contributions and Expenditures

▶ See separate instructions.

**A** For the period beginning 04/01/2016 and ending 06/30/2016

**B** Check applicable box:  Initial report  Change of address  Amended report  Final report

**1** Name of organization: Republican Governors Association  
**Employer identification number:** 11 - 3655877

**2** Mailing address (P.O. box or number, street, and room or suite number)  
1747 Pennsylvania NW Suite 250

**City or town, state, and ZIP code**  
Washington, DC 20006

**3** E-mail address of organization: madams@rga.org  
**4** Date organization was formed: 10/04/2002

**5a** Name of custodian of records: Michael G. Adams  
**5b** Custodian's address: 1747 Pennsylvania NW Suite 250  
Washington, DC 20006

**6a** Name of contact person: Michael G. Adams  
**6b** Contact person's address: 1747 Pennsylvania NW Suite 250  
Washington, DC 20006

**7** Business address of organization (if different from mailing address shown above). Number, street, and room or suite number  
1747 Pennsylvania NW Suite 250

**City or town, state, and ZIP code**  
Washington, DC 20006

**8** Type of report (check only one box)

- First quarterly report (due by April 15)
- Second quarterly report (due by July 15)
- Third quarterly report (due by October 15)
- Year-end report (due by January 31)
- Mid-year report (Non-election year only-due by July 31)
- Monthly report for the month of: (due by the 20th day following the month shown above, except the December report, which is due by January 31)
- Pre-election report (due by the 12th or 15th day before the election)
  - (1) Type of election:
  - (2) Date of election:
  - (3) For the state of:
- Post-general election report (due by the 30th day after general election)
  - (1) Date of election:
  - (2) For the state of:

**9** Total amount of reported contributions (total from all attached Schedules A) ..... **9.** \$ 16881718

**10** Total amount of reported expenditures (total from all attached Schedules B) ..... **10.** \$ 6174018

Under penalties of perjury, I declare that I have examined this report, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Michael G. Adams

04/12/2017

**Sign Here**



Signature of authorized official



Date

<b>Contributor's name, mailing address and ZIP code</b> RHONDA S PHELPS 2147 HART RD. LEBANON, OH 45036	<b>Name of contributor's employer</b> INFORMATION REQUESTED <b>Contributor's occupation</b> INFORMATION REQUESTED <b>Aggregate contributions year-to-date</b> \$ 2500	<b>Amount of contribution</b> \$ 2500 <b>Date of contribution</b> 06/21/2016
<b>Contributor's name, mailing address and ZIP code</b> MAXIMUM BUILDERS AND DEVELOPERS 8419 TERRY LEE WAY SEVERN, MD 21144	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 10000	<b>Amount of contribution</b> \$ 10000 <b>Date of contribution</b> 05/20/2016
<b>Contributor's name, mailing address and ZIP code</b> NEXTERA ENERGY RESOURCES LLC 700 UNIVERSE BLVD JUNO BEACH, FL 33408	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 2000	<b>Amount of contribution</b> \$ 2000 <b>Date of contribution</b> 05/03/2016
<b>Contributor's name, mailing address and ZIP code</b> PJM INTERCONNECTION 955 JEFFERSON AVE NORRISTOWN, PA 19403	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 25000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 06/17/2016
<b>Contributor's name, mailing address and ZIP code</b> PAUL L. FOSTER 123 W. MILLS AVENUE SUITE 200 EL PASO, TX 79901	<b>Name of contributor's employer</b> WESTERN REFINING INC. <b>Contributor's occupation</b> EXECUTIVE CHAIRMAN <b>Aggregate contributions year-to-date</b> \$ 200000	<b>Amount of contribution</b> \$ 200000 <b>Date of contribution</b> 05/20/2016
<b>Contributor's name, mailing address and ZIP code</b> GARY SITTERUD PO BOX 185 ORANGEVILLE, UT 84537	<b>Name of contributor's employer</b> UEI <b>Contributor's occupation</b> COAL MINER <b>Aggregate contributions year-to-date</b> \$ 200	<b>Amount of contribution</b> \$ 200 <b>Date of contribution</b> 06/21/2016
<b>Contributor's name, mailing address and ZIP code</b> GARY L. HARVEY PO BOX 133 SCENERY HILL, PA 15360	<b>Name of contributor's employer</b> SELF EMPLOYED <b>Contributor's occupation</b> SELF EMPLOYED <b>Aggregate contributions year-to-date</b> \$ 2000	<b>Amount of contribution</b> \$ 2000 <b>Date of contribution</b> 06/21/2016
<b>Contributor's name, mailing address and ZIP code</b> RESIDENTIAL TITLE AND ESCROW COMPANY 100 PAINTERS MILL ROAD SUITE 200 OWINGS MILLS, MD 21117	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 5000	<b>Amount of contribution</b> \$ 5000 <b>Date of contribution</b> 05/26/2016
<b>Contributor's name, mailing address and ZIP code</b> RICHARD SIAS 3900 S. BRYANT AVENUE EDMOND, OK 73013	<b>Name of contributor's employer</b> RETIRED <b>Contributor's occupation</b> RETIRED <b>Aggregate contributions year-to-date</b> \$ 25000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 04/22/2016
<b>Contributor's name, mailing address and ZIP code</b> JOSEPH A. FEMIANI 227 GATESHEAD DR. MCMURRAY, PA 15317	<b>Name of contributor's employer</b> RICE ELECTRIC COMPANY <b>Contributor's occupation</b> VICE PRESIDENT <b>Aggregate contributions year-to-date</b> \$ 200	<b>Amount of contribution</b> \$ 200 <b>Date of contribution</b> 06/21/2016
<b>Contributor's name, mailing address and ZIP code</b> ALEXANDER AND CLEAVER 54 STATE CIRCLE ANNAPOLIS, MD 21401	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 2000	<b>Amount of contribution</b> \$ 1000 <b>Date of contribution</b> 05/18/2016

# Political Organization Report of Contributions and Expenditures

▶ See separate instructions.

**A For the period beginning** 07/01/2015 **and ending** 12/31/2015

**B Check applicable box:**  Initial report  Change of address  Amended report  Final report

**1 Name of organization** Republican Governors Association **Employer identification number** 11 - 3655877

**2 Mailing address (P.O. box or number, street, and room or suite number)**  
1747 Pennsylvania NW Suite 250

**City or town, state, and ZIP code**  
Washington, DC 20006

**3 E-mail address of organization:** madams@rga.org **4 Date organization was formed:** 10/04/2002

**5a Name of custodian of records** Michael G. Adams **5b Custodian's address** 1747 Pennsylvania NW Suite 250  
Washington, DC 20006

**6a Name of contact person** Michael G. Adams **6b Contact person's address** 1747 Pennsylvania NW Suite 250  
Washington, DC 20006

**7 Business address of organization (if different from mailing address shown above). Number, street, and room or suite number**  
1747 Pennsylvania NW Suite 250

**City or town, state, and ZIP code**  
Washington, DC 20006

**8 Type of report (check only one box)**

- First quarterly report (due by April 15)
- Second quarterly report (due by July 15)
- Third quarterly report (due by October 15)
- Year-end report (due by January 31)
- Mid-year report (Non-election year only-due by July 31)
- Monthly report for the month of: (due by the 20th day following the month shown above, except the December report, which is due by January 31)
- Pre-election report (due by the 12th or 15th day before the election)
  - (1) Type of election:
  - (2) Date of election:
  - (3) For the state of:
- Post-general election report (due by the 30th day after general election)
  - (1) Date of election:
  - (2) For the state of:

**9 Total amount of reported contributions (total from all attached Schedules A)** ..... **9. \$** 20262349

**10 Total amount of reported expenditures (total from all attached Schedules B)** ..... **10. \$** 6334044

Under penalties of perjury, I declare that I have examined this report, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Michael G Adams

06/23/2016

**Sign Here**



Signature of authorized official



Date

<b>Contributor's name, mailing address and ZIP code</b> JAMES W. DEVANEY 177 WELLS AVE NEWTON, MA 02459	<b>Name of contributor's employer</b> DEVANEY ENERGY <b>Contributor's occupation</b> PRESIDENT <b>Aggregate contributions year-to-date</b> \$ 2500	<b>Amount of contribution</b> \$ 2500 <b>Date of contribution</b> 12/02/2015
<b>Contributor's name, mailing address and ZIP code</b> AMY PHELAN 645 FIFTH AVENUE 21ST FLOOR NEW YORK, NY 10022	<b>Name of contributor's employer</b> GUGGENHEIM MUSEUM OF ART <b>Contributor's occupation</b> TRUSTEE <b>Aggregate contributions year-to-date</b> \$ 25000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 09/18/2015
<b>Contributor's name, mailing address and ZIP code</b> NATIONAL RIFLE ASSOCIATION OF AMERICA 11250 WAPLES MILL ROAD . FAIRFAX, VA 22030	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 192650	<b>Amount of contribution</b> \$ 10000 <b>Date of contribution</b> 12/30/2015
<b>Contributor's name, mailing address and ZIP code</b> U.S. CELLULAR 8410 W BRYN MAWR AVE SUITE 700 CHICAGO, IL 60631	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 75000	<b>Amount of contribution</b> \$ 75000 <b>Date of contribution</b> 12/01/2015
<b>Contributor's name, mailing address and ZIP code</b> DAVIDSON INVESTMENTS LLC 5200 VERBENA LN OKLAHOMA CITY, OK 73142	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 25000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 07/10/2015
<b>Contributor's name, mailing address and ZIP code</b> CENTENE MANAGEMENT COMPANY LLC-IN-KIND 7777 BONHOMME AVENUE . ST LOUIS, MO 63105	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> IN-KIND TRAVEL <b>Aggregate contributions year-to-date</b> \$ 250635	<b>Amount of contribution</b> \$ 15000 <b>Date of contribution</b> 11/17/2015
<b>Contributor's name, mailing address and ZIP code</b> KESWICK CONSULTING 76 BUCKINGHAM RD. MILTON, MA 02186	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 450	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 10/15/2015
<b>Contributor's name, mailing address and ZIP code</b> MID-ATLANTIC HEALTH CARE 11900 BALTIMORE AVE #C BELTSVILLE, MD 20705	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 50900	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 11/13/2015
<b>Contributor's name, mailing address and ZIP code</b> RICHARD DUCHOSSOIS 65 SPRING CREEK RD BARRINGTON, IL 60010	<b>Name of contributor's employer</b> THE DUCHOSSOIS GROUP <b>Contributor's occupation</b> CHAIRMAN <b>Aggregate contributions year-to-date</b> \$ 50000	<b>Amount of contribution</b> \$ 50000 <b>Date of contribution</b> 12/08/2015
<b>Contributor's name, mailing address and ZIP code</b> PJM INTERCONNECTION 2750 MONROE BLVD. AUDUBON, PA 19096	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 25450	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 10/15/2015
<b>Contributor's name, mailing address and ZIP code</b> ROBERT POTAMKIN -IN-KIND 7714 FISHER ISLAND DR. . FISHER ISLAND, FL 33109	<b>Name of contributor's employer</b> POTAMKIN MANHATTAN CORPORATION <b>Contributor's occupation</b> CHAIRMAN <b>Aggregate contributions year-to-date</b> \$ 27550	<b>Amount of contribution</b> \$ 2550 <b>Date of contribution</b> 07/20/2015

# Political Organization Report of Contributions and Expenditures

▶ See separate instructions.

**A** For the period beginning 01/01/2015 and ending 06/30/2015

**B** Check applicable box:  Initial report  Change of address  Amended report  Final report

**1** Name of organization: Democratic Governors Association **Employer identification number:** 52 - 1304889

**2** Mailing address (P.O. box or number, street, and room or suite number): 1225 Eye Street NW Suite 1100

**City or town, state, and ZIP code:** Washington, DC 20005

**3** E-mail address of organization: compliance@dga.net **4** Date organization was formed: 07/01/1983

**5a** Name of custodian of records: Stephen Hill **5b** Custodian's address: 1225 Eye Street NW Suite 1100 Washington, DC 20005

**6a** Name of contact person: Stephen Hill **6b** Contact person's address: 1225 Eye Street NW Suite 1100 Washington, DC 20005

**7** Business address of organization (if different from mailing address shown above). Number, street, and room or suite number: 1225 Eye Street NW Suite 1100

**City or town, state, and ZIP code:** Washington, DC 20005

**8** Type of report (check only one box)

- First quarterly report (due by April 15)
- Second quarterly report (due by July 15)
- Third quarterly report (due by October 15)
- Year-end report (due by January 31)
- Mid-year report (Non-election year only-due by July 31)
- Monthly report for the month of: (due by the 20th day following the month shown above, except the December report, which is due by January 31)
- Pre-election report (due by the 12th or 15th day before the election)
  - (1) Type of election:
  - (2) Date of election:
  - (3) For the state of:
- Post-general election report (due by the 30th day after general election)
  - (1) Date of election:
  - (2) For the state of:

**9** Total amount of reported contributions (total from all attached Schedules A) ..... **9.** \$ 12943540

**10** Total amount of reported expenditures (total from all attached Schedules B) ..... **10.** \$ 6671379

Under penalties of perjury, I declare that I have examined this report, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Stephen Hill

04/25/2016

**Sign Here**



Signature of authorized official



Date

<b>Contributor's name, mailing address and ZIP code</b> PJM Interconnection 955 Jefferson Ave Norristown, PA 19403 - 2410	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 25000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 06/22/2015
<b>Contributor's name, mailing address and ZIP code</b> Macquarie Group 125 W 55th St Fl 8 New York, NY 10019 - 5369	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 25700	<b>Amount of contribution</b> \$ 350 <b>Date of contribution</b> 06/29/2015
<b>Contributor's name, mailing address and ZIP code</b> James B. Pitts 120 Boerum Pl Apt 10 Brooklyn, NY 11201 - 6281	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> Retired <b>Aggregate contributions year-to-date</b> \$ 300	<b>Amount of contribution</b> \$ 300 <b>Date of contribution</b> 04/16/2015
<b>Contributor's name, mailing address and ZIP code</b> ResCare Inc 9901 Linn Station Rd Louisville, KY 40223 - 3808	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 30000	<b>Amount of contribution</b> \$ 30000 <b>Date of contribution</b> 06/30/2015
<b>Contributor's name, mailing address and ZIP code</b> Pat Stryker 262 E Mountain Ave Fort Collins, CO 80524 - 2835	<b>Name of contributor's employer</b> Self-Employed <b>Contributor's occupation</b> Philanthropist <b>Aggregate contributions year-to-date</b> \$ 25000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 06/30/2015
<b>Contributor's name, mailing address and ZIP code</b> Ruth G. Benson 945 McGown St Apt 3F Fairbanks, AK 99701 - 8104	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> Retired <b>Aggregate contributions year-to-date</b> \$ 450	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 06/30/2015
<b>Contributor's name, mailing address and ZIP code</b> Robert J Wittevrongel 6860 S Lott Rd Pearl City, IL 61062 - 9246	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> Retired <b>Aggregate contributions year-to-date</b> \$ 300	<b>Amount of contribution</b> \$ 100 <b>Date of contribution</b> 04/30/2015
<b>Contributor's name, mailing address and ZIP code</b> Richard W Oertel 3550 SW Bond Ave Unit 1003 Portland, OR 97239 - 4716	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> Retired <b>Aggregate contributions year-to-date</b> \$ 2165	<b>Amount of contribution</b> \$ 100 <b>Date of contribution</b> 03/31/2015
<b>Contributor's name, mailing address and ZIP code</b> Alan H Schwartz 27 Mohawk Trl Westfield, NJ 07090 - 2707	<b>Name of contributor's employer</b> TD Bank <b>Contributor's occupation</b> Analyst <b>Aggregate contributions year-to-date</b> \$ 298	<b>Amount of contribution</b> \$ 150 <b>Date of contribution</b> 02/13/2015
<b>Contributor's name, mailing address and ZIP code</b> Alan M Appleford 6284 Clive Ave Oakland, CA 94611 - 1714	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> Retired <b>Aggregate contributions year-to-date</b> \$ 750	<b>Amount of contribution</b> \$ 200 <b>Date of contribution</b> 05/21/2015
<b>Contributor's name, mailing address and ZIP code</b> Southern Wine Spirits of America Inc. 2901 SW 149th Ave Miramar, FL 33027 - 4151	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 25000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 06/30/2015

# Political Organization Report of Contributions and Expenditures

▶ See separate instructions.

**A** For the period beginning 11/25/2014 and ending 12/31/2014

**B** Check applicable box:  Initial report  Change of address  Amended report  Final report

**1** Name of organization: Democratic Governors Association **Employer identification number:** 52 - 1304889

**2** Mailing address (P.O. box or number, street, and room or suite number)  
1401 K Street, NW #200

**City or town, state, and ZIP code**  
Washington, DC 20005

**3** E-mail address of organization: metcalf@dga.net **4** Date organization was formed: 07/01/1983

**5a** Name of custodian of records: Ben Metcalf **5b** Custodian's address: 1401 K Street, NW #200 Washington, DC 20005

**6a** Name of contact person: Ben Metcalf **6b** Contact person's address: 1401 K Street, NW #200 Washington, DC 20005

**7** Business address of organization (if different from mailing address shown above). Number, street, and room or suite number  
1401 K Street, NW #200

**City or town, state, and ZIP code**  
Washington, DC 20005

**8** Type of report (check only one box)

- First quarterly report (due by April 15)
- Second quarterly report (due by July 15)
- Third quarterly report (due by October 15)
- Year-end report (due by January 31)
- Mid-year report (Non-election year only-due by July 31)
- Monthly report for the month of: (due by the 20th day following the month shown above, except the December report, which is due by January 31)
- Pre-election report (due by the 12th or 15th day before the election)
  - (1) Type of election:
  - (2) Date of election:
  - (3) For the state of:
- Post-general election report (due by the 30th day after general election)
  - (1) Date of election:
  - (2) For the state of:

**9** Total amount of reported contributions (total from all attached Schedules A)..... **9.** \$ 886969

**10** Total amount of reported expenditures (total from all attached Schedules B)..... **10.** \$ 1744949

Under penalties of perjury, I declare that I have examined this report, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Ben Metcalf

01/30/2015

**Sign Here**



Signature of authorized official



Date



<b>Contributor's name, mailing address and ZIP code</b> Barbara H Naylor 128 Silver Mine Trl Austell, GA 30168 - 7447	<b>Name of contributor's employer</b> Information Requested <b>Contributor's occupation</b> Information Requested <b>Aggregate contributions year-to-date</b> \$ 200	<b>Amount of contribution</b> \$ 100 <b>Date of contribution</b> 12/24/2014
<b>Contributor's name, mailing address and ZIP code</b> Barbara A Kelly 1119 Hawthorne Ln Fort Washington, PA 19034 - 1734	<b>Name of contributor's employer</b> Albert Einstein Medical Center <b>Contributor's occupation</b> pediatrician <b>Aggregate contributions year-to-date</b> \$ 2310	<b>Amount of contribution</b> \$ 500 <b>Date of contribution</b> 12/24/2014
<b>Contributor's name, mailing address and ZIP code</b> Diana F Nelson 4629 Villa Green Dr Nashville, TN 37215 - 4331	<b>Name of contributor's employer</b> Information Requested <b>Contributor's occupation</b> Information Requested <b>Aggregate contributions year-to-date</b> \$ 275	<b>Amount of contribution</b> \$ 275 <b>Date of contribution</b> 12/22/2014
<b>Contributor's name, mailing address and ZIP code</b> Caroline S Hollins 1605 Enterprise Blvd Lake Charles, LA 70601 - 6365	<b>Name of contributor's employer</b> Information Requested <b>Contributor's occupation</b> Information Requested <b>Aggregate contributions year-to-date</b> \$ 350	<b>Amount of contribution</b> \$ 75 <b>Date of contribution</b> 12/17/2014
<b>Contributor's name, mailing address and ZIP code</b> Thermo Fisher Scientific 2140 Cambridge Ave Cardiff, CA 92007 - 1803	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 350	<b>Amount of contribution</b> \$ 350 <b>Date of contribution</b> 12/16/2014
<b>Contributor's name, mailing address and ZIP code</b> Lundbeck Pharmaceuticals Services 4 Parkway N Ste 200 Deerfield, IL 60015 - 2542	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 10000	<b>Amount of contribution</b> \$ 10000 <b>Date of contribution</b> 12/23/2014
<b>Contributor's name, mailing address and ZIP code</b> Jerrold M Yos 1001 Main St Unit 34 Woburn, MA 01801 - 1253	<b>Name of contributor's employer</b> Information Requested <b>Contributor's occupation</b> Information Requested <b>Aggregate contributions year-to-date</b> \$ 545	<b>Amount of contribution</b> \$ 20 <b>Date of contribution</b> 12/24/2014
<b>Contributor's name, mailing address and ZIP code</b> Betty L Banks 102 Spotswood Rd Locust Grove, VA 22508 - 5547	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> Retired <b>Aggregate contributions year-to-date</b> \$ 252	<b>Amount of contribution</b> \$ 102 <b>Date of contribution</b> 12/16/2014
<b>Contributor's name, mailing address and ZIP code</b> PJM Interconnection 955 Jefferson Ave Norristown, PA 19403 - 2410	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 26050	<b>Amount of contribution</b> \$ 350 <b>Date of contribution</b> 12/16/2014
<b>Contributor's name, mailing address and ZIP code</b> John S Lopatto 803 Gibbon St Alexandria, VA 22314 - 4115	<b>Name of contributor's employer</b> Information Requested <b>Contributor's occupation</b> Information Requested <b>Aggregate contributions year-to-date</b> \$ 270	<b>Amount of contribution</b> \$ 170 <b>Date of contribution</b> 12/24/2014
<b>Contributor's name, mailing address and ZIP code</b> Donna M Alexander 815 W Cuyler Ave # 1E Chicago, IL 60613 - 2112	<b>Name of contributor's employer</b> Information Requested <b>Contributor's occupation</b> Information Requested <b>Aggregate contributions year-to-date</b> \$ 200	<b>Amount of contribution</b> \$ 50 <b>Date of contribution</b> 12/24/2014

# Political Organization Report of Contributions and Expenditures

▶ See separate instructions.

**A** For the period beginning 10/01/2014 and ending 11/24/2014

**B** Check applicable box:  Initial report  Change of address  Amended report  Final report

**1** Name of organization: Republican Governors Association  
**Employer identification number:** 11 - 3655877

**2** Mailing address (P.O. box or number, street, and room or suite number)  
1747 Pennsylvania NW Suite 250

**City or town, state, and ZIP code**  
Washington, DC 20006

**3** E-mail address of organization: madams@rga.org  
**4** Date organization was formed: 10/04/2002

**5a** Name of custodian of records: Michael G. Adams  
**5b** Custodian's address: 1747 Pennsylvania NW Suite 250  
Washington, DC 20006

**6a** Name of contact person: Michael G. Adams  
**6b** Contact person's address: 1747 Pennsylvania NW Suite 250  
Washington, DC 20006

**7** Business address of organization (if different from mailing address shown above). Number, street, and room or suite number  
1747 Pennsylvania NW Suite 250

**City or town, state, and ZIP code**  
Washington, DC 20006

**8** Type of report (check only one box)

- First quarterly report (due by April 15)
- Second quarterly report (due by July 15)
- Third quarterly report (due by October 15)
- Year-end report (due by January 31)
- Mid-year report (Non-election year only-due by July 31)
- Monthly report for the month of: (due by the 20th day following the month shown above, except the December report, which is due by January 31)
- Pre-election report (due by the 12th or 15th day before the election)
  - (1) Type of election:
  - (2) Date of election:
  - (3) For the state of:
- Post-general election report (due by the 30th day after general election)
  - (1) Date of election: 11/04/2014
  - (2) For the state of: DC

**9** Total amount of reported contributions (total from all attached Schedules A) ..... **9.** \$ 24617617

**10** Total amount of reported expenditures (total from all attached Schedules B) ..... **10.** \$ 50184263

Under penalties of perjury, I declare that I have examined this report, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Michael G. Adams

01/05/2015

**Sign Here**



Signature of authorized official



Date

<b>Contributor's name, mailing address and ZIP code</b> NATIONAL MINING ASSOCIATION 101 CONSTITUTION AVE NW SUITE 500 EAST WASHINGTON, DC 20001	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 51350	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 10/20/2014
<b>Contributor's name, mailing address and ZIP code</b> TENASKA, INC. 14302 FNB PARKWAY OMAHA, NE 68154	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 25450	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 10/15/2014
<b>Contributor's name, mailing address and ZIP code</b> NRG ENERGY INC 211 CARNEGIE CENTER PRINCETON, NJ 08540	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 25000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 10/20/2014
<b>Contributor's name, mailing address and ZIP code</b> DOW AGROSCIENCES LLC 2511 E PATRICK ROAD MIDLAND, MI 48641	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 10000	<b>Amount of contribution</b> \$ 10000 <b>Date of contribution</b> 10/24/2014
<b>Contributor's name, mailing address and ZIP code</b> PJM INTERCONNECTION 955 JEFFERSON AVERNUE NORRISTOWN, PA 19403	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 25450	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 11/10/2014
<b>Contributor's name, mailing address and ZIP code</b> BRISTOL-MYERS SQUIBB COMPANY PO BOX 25277 TAMPA, FL 33622	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 55900	<b>Amount of contribution</b> \$ 900 <b>Date of contribution</b> 10/22/2014
<b>Contributor's name, mailing address and ZIP code</b> PROPERTY CASUALTY INSURERS ASSOC. OF AMERICA 8700 WEST BRYN MAWR AVENUE SUITE 1200S CHICAGO, IL 60631	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 115900	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 10/13/2014
<b>Contributor's name, mailing address and ZIP code</b> CHATAHOUCHEE PUBLIC AFFAIRS LLC 145 ATLANTIC ST CORPUS CHRISTIE, TX 78404	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 450	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 11/12/2014
<b>Contributor's name, mailing address and ZIP code</b> BGR GOVERNMENT AFFAIRS, LLC PO BOX 14416 WASHINGTON, DC 20044	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 16350	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 11/03/2014
<b>Contributor's name, mailing address and ZIP code</b> COPART 4610 WEST AMERICA DRIVE FAIRFIELD, CA 94534	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 100450	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 10/06/2014
<b>Contributor's name, mailing address and ZIP code</b> JACK OLIVER 1 METROPOLITAN SQUARE ST LOUIS, MO 63102	<b>Name of contributor's employer</b> BRYAN CAVE LLP <b>Contributor's occupation</b> SENIOR POLICY ADVISOR <b>Aggregate contributions year-to-date</b> \$ 450	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 10/06/2014

# Political Organization Report of Contributions and Expenditures

▶ See separate instructions.

**A For the period beginning** 07/01/2013 **and ending** 12/31/2013

**B Check applicable box:**  Initial report  Change of address  Amended report  Final report

**1 Name of organization** Republican Governors Association **Employer identification number** 11 - 3655877

**2 Mailing address (P.O. box or number, street, and room or suite number)**  
1747 Pennsylvania NW Suite 250

**City or town, state, and ZIP code**  
Washington, DC 20006

**3 E-mail address of organization:** madams@rga.org **4 Date organization was formed:** 10/04/2002

**5a Name of custodian of records** Michael G. Adams **5b Custodian's address** 1747 Pennsylvania NW Suite 250  
Washington, DC 20006

**6a Name of contact person** Michael G. Adams **6b Contact person's address** 1747 Pennsylvania NW Suite 250  
Washington, DC 20006

**7 Business address of organization (if different from mailing address shown above). Number, street, and room or suite number**  
1747 Pennsylvania NW Suite 250

**City or town, state, and ZIP code**  
Washington, DC 20006

**8 Type of report (check only one box)**

- First quarterly report (due by April 15)
- Second quarterly report (due by July 15)
- Third quarterly report (due by October 15)
- Year-end report (due by January 31)
- Mid-year report (Non-election year only-due by July 31)
- Monthly report for the month of: (due by the 20th day following the month shown above, except the December report, which is due by January 31)
- Pre-election report (due by the 12th or 15th day before the election)
  - (1) Type of election:
  - (2) Date of election:
  - (3) For the state of:
- Post-general election report (due by the 30th day after general election)
  - (1) Date of election:
  - (2) For the state of:

**9 Total amount of reported contributions (total from all attached Schedules A)** ..... **9. \$** 27041386

**10 Total amount of reported expenditures (total from all attached Schedules B)** ..... **10. \$** 15066732

Under penalties of perjury, I declare that I have examined this report, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Michael G. Adams

02/03/2014

**Sign Here**



Signature of authorized official



Date

<b>Contributor's name, mailing address and ZIP code</b> CRAIG SNIDER PO BOX 25088 PHILADELPHIA, PA 19147	<b>Name of contributor's employer</b> THE SNIDER FOUNDATION <b>Contributor's occupation</b> EXECUTIVE DIRECTOR <b>Aggregate contributions year-to-date</b> \$ 25000	<b>Amount of contribution</b> \$ 6250 <b>Date of contribution</b> 09/04/2013
<b>Contributor's name, mailing address and ZIP code</b> MARATHON OIL COMPANY PO BOX 22164 TULSA, OK 74121	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 201350	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 11/06/2013
<b>Contributor's name, mailing address and ZIP code</b> AMTRAK 4300 GARDEN CITY DR HYATTSVILLE, MD 20785	<b>Name of contributor's employer</b> NOT A CONTRIBUTION/TRAVEL <b>Contributor's occupation</b> NA/NA <b>Aggregate contributions year-to-date</b> \$ 274	<b>Amount of contribution</b> \$ 274 <b>Date of contribution</b> 10/07/2013
<b>Contributor's name, mailing address and ZIP code</b> STRADLEY RONON STEVENS AND YOUNG LLP 2005 MARKET STREET PHILADELPHIA, PA 19103	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 1000	<b>Amount of contribution</b> \$ 1000 <b>Date of contribution</b> 10/31/2013
<b>Contributor's name, mailing address and ZIP code</b> PJM INTERCONNECTION 955 JEFFERSON AVERNUE NORRISTOWN, PA 19403	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 25450	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 11/04/2013
<b>Contributor's name, mailing address and ZIP code</b> NEWPARK RESOURCES, INC. 2700 RESEARCH FOREST DRIVE SUITE 100 THE WOODLANDS, TX 77381	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 25000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 12/03/2013
<b>Contributor's name, mailing address and ZIP code</b> REED ELSEVIER, INC 255 WASHINGTON STREET NEWTON, MA 02458	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 26350	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 10/11/2013
<b>Contributor's name, mailing address and ZIP code</b> JM FAMILY ENTERPRISES, INC. 100 JIM MORAN BLVD. DEERFIELD BEACH, FL 33442	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 20000	<b>Amount of contribution</b> \$ 10000 <b>Date of contribution</b> 12/18/2013
<b>Contributor's name, mailing address and ZIP code</b> TOM MOSURE 4318 TAVISTOCK CIRCLE POWELL, OH 43065	<b>Name of contributor's employer</b> MS CONSULTANTS INC. <b>Contributor's occupation</b> ENGINEER <b>Aggregate contributions year-to-date</b> \$ 15000	<b>Amount of contribution</b> \$ 15000 <b>Date of contribution</b> 09/18/2013
<b>Contributor's name, mailing address and ZIP code</b> GENERAL ELECTRIC COMPANY PO BOX 9544 FORT MYERS, FL 33906	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 100450	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 12/06/2013
<b>Contributor's name, mailing address and ZIP code</b> SULLIVAN PUBLIC AFFAIRS 1115 SAN JACINTO AUSTIN, TX 78701	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 450	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 11/25/2013

# Political Organization Report of Contributions and Expenditures

▶ See separate instructions.

**A For the period beginning** 07/01/2013 **and ending** 12/31/2013

**B Check applicable box:**  Initial report  Change of address  Amended report  Final report

**1 Name of organization** Democratic Governors Association **Employer identification number** 52 - 1304889

**2 Mailing address (P.O. box or number, street, and room or suite number)**  
1401 K Street, NW Suite 200

**City or town, state, and ZIP code**  
Washington, DC 20005

**3 E-mail address of organization:** metcalf@dga.ney **4 Date organization was formed:** 07/01/1983

**5a Name of custodian of records** Ben Metcalf **5b Custodian's address** 1401 K Street, NW Suite 200  
Washington, DC 20005

**6a Name of contact person** Ben Metcalf **6b Contact person's address** 1401 K Street, NW Suite 200  
Washington, DC 20005

**7 Business address of organization (if different from mailing address shown above). Number, street, and room or suite number**  
1401 K Street, NW Suite 200

**City or town, state, and ZIP code**  
Washington, DC 20005

**8 Type of report (check only one box)**

- First quarterly report (due by April 15)
- Second quarterly report (due by July 15)
- Third quarterly report (due by October 15)
- Year-end report (due by January 31)
- Mid-year report (Non-election year only-due by July 31)
- Monthly report for the month of: (due by the 20th day following the month shown above, except the December report, which is due by January 31)
- Pre-election report (due by the 12th or 15th day before the election)
  - (1) Type of election:
  - (2) Date of election:
  - (3) For the state of:
- Post-general election report (due by the 30th day after general election)
  - (1) Date of election:
  - (2) For the state of:

**9 Total amount of reported contributions (total from all attached Schedules A)..... 9. \$ 12508531**

**10 Total amount of reported expenditures (total from all attached Schedules B)..... 10. \$ 10036195**

Under penalties of perjury, I declare that I have examined this report, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Ben Metcalf

01/30/2014

**Sign Here**



Signature of authorized official



Date

<b>Contributor's name, mailing address and ZIP code</b> Curtiss Meredyk 1421 Yale Pl Apt 1703 Minneapolis, MN 55403 - 2114	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> Retired <b>Aggregate contributions year-to-date</b> \$ 228	<b>Amount of contribution</b> \$ 53 <b>Date of contribution</b> 07/31/2013
<b>Contributor's name, mailing address and ZIP code</b> Constance Mounce PO Box 534 Harleton, TX 75651 - 0534	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> Retired <b>Aggregate contributions year-to-date</b> \$ 605	<b>Amount of contribution</b> \$ 80 <b>Date of contribution</b> 10/24/2013
<b>Contributor's name, mailing address and ZIP code</b> Sybil Stoller 1100 Rivas Canyon road Pacific Palisades, CA 90272	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> Not Employed <b>Aggregate contributions year-to-date</b> \$ 250	<b>Amount of contribution</b> \$ 100 <b>Date of contribution</b> 11/04/2013
<b>Contributor's name, mailing address and ZIP code</b> PJM Interconnection 955 Jefferson Ave Norristown, PA 19403 - 2410	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 25700	<b>Amount of contribution</b> \$ 350 <b>Date of contribution</b> 07/08/2013
<b>Contributor's name, mailing address and ZIP code</b> Fay C. Graning 6100 Westchester Park Dr Apt 404 College Park, MD 20740 - 2845	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> Retired <b>Aggregate contributions year-to-date</b> \$ 900	<b>Amount of contribution</b> \$ 100 <b>Date of contribution</b> 10/09/2013
<b>Contributor's name, mailing address and ZIP code</b> CCA of Tennessee, LLC 10 Burton Hills Blvd Nashville, TN 37215 - 6105	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 150000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 11/22/2013
<b>Contributor's name, mailing address and ZIP code</b> Veronica Hari 21 Park Ave Wethersfield, CT 06109 - 1630	<b>Name of contributor's employer</b> Information Requested <b>Contributor's occupation</b> Information Requested <b>Aggregate contributions year-to-date</b> \$ 400	<b>Amount of contribution</b> \$ 100 <b>Date of contribution</b> 09/30/2013
<b>Contributor's name, mailing address and ZIP code</b> Rochelle Rothbaum 294 Richard Ct Pomona, NY 10970 - 2305	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> Retired <b>Aggregate contributions year-to-date</b> \$ 360	<b>Amount of contribution</b> \$ 25 <b>Date of contribution</b> 11/03/2013
<b>Contributor's name, mailing address and ZIP code</b> Jane Griffin 14 Ladshaw Dr Madison, CT 06443 - 3351	<b>Name of contributor's employer</b> Information Requested <b>Contributor's occupation</b> Information Requested <b>Aggregate contributions year-to-date</b> \$ 500	<b>Amount of contribution</b> \$ 100 <b>Date of contribution</b> 10/28/2013
<b>Contributor's name, mailing address and ZIP code</b> Ronald Bean 35 Candlelight Ter White River Juncti, VT 05001 - 2408	<b>Name of contributor's employer</b> Information Requested <b>Contributor's occupation</b> Information Requested <b>Aggregate contributions year-to-date</b> \$ 335	<b>Amount of contribution</b> \$ 100 <b>Date of contribution</b> 08/22/2013
<b>Contributor's name, mailing address and ZIP code</b> Joseph Easley 6201 Shoreham Dr Evansville, IN 47711 - 7714	<b>Name of contributor's employer</b> Information Requested <b>Contributor's occupation</b> Information Requested <b>Aggregate contributions year-to-date</b> \$ 200	<b>Amount of contribution</b> \$ 100 <b>Date of contribution</b> 10/28/2013

# Political Organization Report of Contributions and Expenditures

▶ See separate instructions.

**A For the period beginning** 04/01/2012 **and ending** 06/30/2012

**B Check applicable box:**  Initial report  Change of address  Amended report  Final report

**1 Name of organization** Democratic Governors Association **Employer identification number** 52 - 1304889

**2 Mailing address (P.O. box or number, street, and room or suite number)**  
1401 K St. NW Suite 200

**City or town, state, and ZIP code**  
Washington, DC 20005 -

**3 E-mail address of organization:** metcalf@dga.net **4 Date organization was formed:** 01/01/1983

**5a Name of custodian of records** Ben Metcalf **5b Custodian's address** 1401 K St. NW Suite 200  
Washington, DC 20005 -

**6a Name of contact person** Ben Metcalf **6b Contact person's address** 1401 K St. NW Suite 200  
Washington, DC 20005 -

**7 Business address of organization (if different from mailing address shown above). Number, street, and room or suite number**  
1401 K St. NW Suite 200

**City or town, state, and ZIP code**  
Washington, DC 20005 -

**8 Type of report (check only one box)**

- First quarterly report (due by April 15)
- Second quarterly report (due by July 15)
- Third quarterly report (due by October 15)
- Year-end report (due by January 31)
- Mid-year report (Non-election year only-due by July 31)
- Monthly report for the month of: (due by the 20th day following the month shown above, except the December report, which is due by January 31)
- Pre-election report (due by the 12th or 15th day before the election)
  - (1) Type of election:
  - (2) Date of election:
  - (3) For the state of:
- Post-general election report (due by the 30th day after general election)
  - (1) Date of election:
  - (2) For the state of:

**9 Total amount of reported contributions (total from all attached Schedules A)** ..... **9. \$** 9401313

**10 Total amount of reported expenditures (total from all attached Schedules B)** ..... **10. \$** 8695191

Under penalties of perjury, I declare that I have examined this report, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Ben Metcalf

07/16/2012

**Sign Here**



Signature of authorized official



Date



<b>Contributor's name, mailing address and ZIP code</b> Walter Miller 1683 8th Avenue San Francisco, CA 94122 -	<b>Name of contributor's employer</b> Information Requested <b>Contributor's occupation</b> Information Requested <b>Aggregate contributions year-to-date</b> \$ 500	<b>Amount of contribution</b> \$ 500 <b>Date of contribution</b> 06/30/2012
<b>Contributor's name, mailing address and ZIP code</b> Motorola Solutions 3205 North Wilke Road Arlington Hts, IL 60004 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 220000	<b>Amount of contribution</b> \$ 100000 <b>Date of contribution</b> 06/18/2012
<b>Contributor's name, mailing address and ZIP code</b> Parker Poe Adams Bernstein, LLP 150 Fayetteville Street Suite 1400 Raleigh, NC 27601 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 10000	<b>Amount of contribution</b> \$ 10000 <b>Date of contribution</b> 05/11/2012
<b>Contributor's name, mailing address and ZIP code</b> CSX Transportation Inc. 1331 Pennsylvania Ave, NW Suite 560 Washington, DC 20004 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 60000	<b>Amount of contribution</b> \$ 10000 <b>Date of contribution</b> 06/22/2012
<b>Contributor's name, mailing address and ZIP code</b> John Allen Chuck 4304 Camellia Avenue Studio City, CA 91604 -	<b>Name of contributor's employer</b> Information Requested <b>Contributor's occupation</b> Information Requested <b>Aggregate contributions year-to-date</b> \$ 1000	<b>Amount of contribution</b> \$ 1000 <b>Date of contribution</b> 05/21/2012
<b>Contributor's name, mailing address and ZIP code</b> Susan Richmond 727 11th Avenue San Francisco, CA 94118 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> Homemaker <b>Aggregate contributions year-to-date</b> \$ 200	<b>Amount of contribution</b> \$ 100 <b>Date of contribution</b> 06/18/2012
<b>Contributor's name, mailing address and ZIP code</b> Lois Fern 3605 Raymond Street Chevy Chase, MD 20815 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> Retired <b>Aggregate contributions year-to-date</b> \$ 250	<b>Amount of contribution</b> \$ 250 <b>Date of contribution</b> 05/21/2012
<b>Contributor's name, mailing address and ZIP code</b> PJM Interconnection 955 Jefferson Avenue Norristown, PA 19403 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 25000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 06/05/2012
<b>Contributor's name, mailing address and ZIP code</b> Ann West 788 Pepper Drive San Bruno, CA 94066 - 2936	<b>Name of contributor's employer</b> City College Of San Francisco <b>Contributor's occupation</b> Teacher <b>Aggregate contributions year-to-date</b> \$ 600	<b>Amount of contribution</b> \$ 150 <b>Date of contribution</b> 06/14/2012
<b>Contributor's name, mailing address and ZIP code</b> Boston Scientific 1 Boston Scientific Place Natick, MA 01760 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 25000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 04/12/2012
<b>Contributor's name, mailing address and ZIP code</b> Datacard Group 11111 Bren Road Minnetonka, MN 55343 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 1050	<b>Amount of contribution</b> \$ 350 <b>Date of contribution</b> 06/22/2012

# Political Organization Report of Contributions and Expenditures

▶ See separate instructions.

**A For the period beginning** 04/01/2012 **and ending** 06/30/2012

**B Check applicable box:**  Initial report  Change of address  Amended report  Final report

**1 Name of organization** Republican Governors Association **Employer identification number** 11 - 3655877

**2 Mailing address (P.O. box or number, street, and room or suite number)**  
1747 Pennsylvania NW Suite 250

**City or town, state, and ZIP code**  
Washington, DC 20006 -

**3 E-mail address of organization:** madams@rga.org **4 Date organization was formed:** 10/04/2002

**5a Name of custodian of records** Michael G. Adams **5b Custodian's address** 1747 Pennsylvania NW Suite 250  
Washington, DC 20006 -

**6a Name of contact person** Michael G. Adams **6b Contact person's address** 1747 Pennsylvania NW Suite 250  
Washington, DC 20006 -

**7 Business address of organization (if different from mailing address shown above). Number, street, and room or suite number**  
1747 Pennsylvania NW Suite 250

**City or town, state, and ZIP code**  
Washington, DC 20006 -

**8 Type of report (check only one box)**

- First quarterly report (due by April 15)
- Second quarterly report (due by July 15)
- Third quarterly report (due by October 15)
- Year-end report (due by January 31)
- Mid-year report (Non-election year only-due by July 31)
- Monthly report for the month of: (due by the 20th day following the month shown above, except the December report, which is due by January 31)
- Pre-election report (due by the 12th or 15th day before the election)
  - (1) Type of election:
  - (2) Date of election:
  - (3) For the state of:
- Post-general election report (due by the 30th day after general election)
  - (1) Date of election:
  - (2) For the state of:

**9 Total amount of reported contributions (total from all attached Schedules A)..... 9. \$ 16737913**

**10 Total amount of reported expenditures (total from all attached Schedules B)..... 10. \$ 13533037**

Under penalties of perjury, I declare that I have examined this report, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Michael G. Adams

07/16/2012

**Sign Here**



Signature of authorized official



Date

<b>Contributor's name, mailing address and ZIP code</b> JAMES A. WALTERS MANAGEMENT COMPANY PO BOX 2935 GAINESVILLE, GA 30503 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 25000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 05/09/2012
<b>Contributor's name, mailing address and ZIP code</b> IOWA HEALTH CARE ASSOCIATION 1775 90TH ST. WEST DES MOINES, IA 50266 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 25000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 06/27/2012
<b>Contributor's name, mailing address and ZIP code</b> INTEGRYS BUSINESS SUPPORT, LLC PO BOX 19800 GREEN BAY, WI 54307 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 10000	<b>Amount of contribution</b> \$ 10000 <b>Date of contribution</b> 05/04/2012
<b>Contributor's name, mailing address and ZIP code</b> E. BRETT MCMAHON 4824 RUGBY AVENUE BETHESDA, MD 20814 -	<b>Name of contributor's employer</b> MILLER AND LONG DC, INC. <b>Contributor's occupation</b> PRESIDENT <b>Aggregate contributions year-to-date</b> \$ 11000	<b>Amount of contribution</b> \$ 10000 <b>Date of contribution</b> 06/21/2012
<b>Contributor's name, mailing address and ZIP code</b> FEDERAL EXPRESS PAC 942 S. SHADY GROVE ROAD 1ST FLOOR MEMPHIS, TN 38120 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 5000	<b>Amount of contribution</b> \$ 5000 <b>Date of contribution</b> 04/23/2012
<b>Contributor's name, mailing address and ZIP code</b> WATSON LABORATORIES, INC 311 BONNIE CIRLCE CORONA, CA 92880 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 10000	<b>Amount of contribution</b> \$ 10000 <b>Date of contribution</b> 04/25/2012
<b>Contributor's name, mailing address and ZIP code</b> PJM INTERCONNECTION 955 JEFFERSON AVERNUE NORRISTOWN, PA 19403 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 25000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 04/23/2012
<b>Contributor's name, mailing address and ZIP code</b> FORTA CORPORATION 100 FORTA DRIVE GROVE CITY, PA 16127 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 5000	<b>Amount of contribution</b> \$ 5000 <b>Date of contribution</b> 06/01/2012
<b>Contributor's name, mailing address and ZIP code</b> JAMES FRANCE PO BOX 2875 DAYTONA BEACH, FL 32120 -	<b>Name of contributor's employer</b> INTERNATIONAL SPEEDWAY CORP. <b>Contributor's occupation</b> EXECUTIVE <b>Aggregate contributions year-to-date</b> \$ 12500	<b>Amount of contribution</b> \$ 6250 <b>Date of contribution</b> 06/01/2012
<b>Contributor's name, mailing address and ZIP code</b> ALLERGAN USA, INC. 2525 DUPONT DRIVE IRVINE, CA 92612 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 50000	<b>Amount of contribution</b> \$ 50000 <b>Date of contribution</b> 06/12/2012
<b>Contributor's name, mailing address and ZIP code</b> L.O. WARD OIL OPERATIONS PO BOX 1187 ENID, OK 73702 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 2500	<b>Amount of contribution</b> \$ 2500 <b>Date of contribution</b> 06/06/2012

# Political Organization Report of Contributions and Expenditures

▶ See separate instructions.

**A For the period beginning** 07/01/2011 **and ending** 12/31/2011

**B Check applicable box:**  Initial report  Change of address  Amended report  Final report

**1 Name of organization** Republican Governors Association **Employer identification number** 11 - 3655877

**2 Mailing address (P.O. box or number, street, and room or suite number)**  
1747 Pennsylvania NW Suite 250

**City or town, state, and ZIP code**  
Washington, DC 20006 -

**3 E-mail address of organization:** madams@rga.org **4 Date organization was formed:** 10/04/2002

**5a Name of custodian of records** Michael G. Adams **5b Custodian's address** 1747 Pennsylvania NW Suite 250  
Washington, DC 20006 -

**6a Name of contact person** Michael G. Adams **6b Contact person's address** 1747 Pennsylvania NW Suite 250  
Washington, DC 20006 -

**7 Business address of organization (if different from mailing address shown above). Number, street, and room or suite number**  
1747 Pennsylvania NW Suite 250

**City or town, state, and ZIP code**  
Washington, DC 20006 -

**8 Type of report (check only one box)**

- First quarterly report (due by April 15)
- Second quarterly report (due by July 15)
- Third quarterly report (due by October 15)
- Year-end report (due by January 31)
- Mid-year report (Non-election year only-due by July 31)
- Monthly report for the month of: (due by the 20th day following the month shown above, except the December report, which is due by January 31)
- Pre-election report (due by the 12th or 15th day before the election)
  - (1) Type of election:
  - (2) Date of election:
  - (3) For the state of:
- Post-general election report (due by the 30th day after general election)
  - (1) Date of election:
  - (2) For the state of:

**9 Total amount of reported contributions (total from all attached Schedules A)** ..... **9. \$** 22076782

**10 Total amount of reported expenditures (total from all attached Schedules B)** ..... **10. \$** 11720690

Under penalties of perjury, I declare that I have examined this report, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

MICHAEL G ADAMS

01/31/2012

**Sign Here**



Signature of authorized official



Date

<b>Contributor's name, mailing address and ZIP code</b> FP1 STRATEGIES 5905 GLOSTER ROAD BETHESDA, MD 20816 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 450	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 11/11/2011
<b>Contributor's name, mailing address and ZIP code</b> JOHN HARVEY 636 MT LEOPARD ROAD FLORA, MS 39071 -	<b>Name of contributor's employer</b> HARVEY FARMS <b>Contributor's occupation</b> OWNER <b>Aggregate contributions year-to-date</b> \$ 25000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 09/26/2011
<b>Contributor's name, mailing address and ZIP code</b> WILLIAM COLLIER 304 SAMSONVILLE ROAD KERHONKSON, NY 12446 -	<b>Name of contributor's employer</b> RETIRED <b>Contributor's occupation</b> RETIRED <b>Aggregate contributions year-to-date</b> \$ 1125	<b>Amount of contribution</b> \$ 375 <b>Date of contribution</b> 08/05/2011
<b>Contributor's name, mailing address and ZIP code</b> DECISION LENS 4250 N. FAIRFAX DRIVE SUITE 1410 ARLINGTON, VA 22203 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 10450	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 12/06/2011
<b>Contributor's name, mailing address and ZIP code</b> PHARMACEUTICALS RESEARCH AND MANUFACTURERS OF AMER 950 F STREET NW SUITE 300 WASHINGTON, DC 20004 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 305000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 10/04/2011
<b>Contributor's name, mailing address and ZIP code</b> SANOFI-AVENTIS PO BOX 6944 BRIDGEWATER, NJ 08807 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 100450	<b>Amount of contribution</b> \$ 50000 <b>Date of contribution</b> 12/16/2011
<b>Contributor's name, mailing address and ZIP code</b> AMERIHEALTH MERCY 200 STEVENS DRIVE BLDG. 100 - 2ND FLOOR PHILADELPHIA, PA 19113 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 450	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 11/11/2011
<b>Contributor's name, mailing address and ZIP code</b> EDWIN RIVERA BROKER CORP. PO BOX 270204 SAN JUAN, PR 00927 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 2500	<b>Amount of contribution</b> \$ 2500 <b>Date of contribution</b> 11/16/2011
<b>Contributor's name, mailing address and ZIP code</b> ELECTRONIC KNOWLEDGE INTERCHANGE COMPANY 33 W MONROE ST. SUITE 1050 CHICAGO, IL 60603 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 18750	<b>Amount of contribution</b> \$ 6250 <b>Date of contribution</b> 09/13/2011
<b>Contributor's name, mailing address and ZIP code</b> MIKE JING 12831 ROSE GROVE DRIVE OAK HILL, VA 20171 -	<b>Name of contributor's employer</b> CYBERDATA TECHNOLOGIES <b>Contributor's occupation</b> EVP <b>Aggregate contributions year-to-date</b> \$ 1500	<b>Amount of contribution</b> \$ 375 <b>Date of contribution</b> 11/28/2011
<b>Contributor's name, mailing address and ZIP code</b> PJM INTERCONNECTION 955 JEFFERSON AVENUE NORRISTOWN, PA 19403 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 25450	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 11/18/2011

# Political Organization Report of Contributions and Expenditures

▶ See separate instructions.

**A For the period beginning** 01/01/2011 **and ending** 06/30/2011

**B Check applicable box:**  Initial report  Change of address  Amended report  Final report

**1 Name of organization** Democratic Governors Association **Employer identification number** 52 - 1304889

**2 Mailing address (P.O. box or number, street, and room or suite number)**  
1401 K St. NW Suite 200

**City or town, state, and ZIP code**  
Washington, DC 20005 -

**3 E-mail address of organization:** metcalf@dga.net **4 Date organization was formed:** 01/01/1983

**5a Name of custodian of records** Ben Metcalf **5b Custodian's address** 1401 K St. NW Suite 200  
Washington, DC 20005 -

**6a Name of contact person** Ben Metcalf **6b Contact person's address** 1401 K St. NW Suite 200  
Washington, DC 20005 -

**7 Business address of organization (if different from mailing address shown above). Number, street, and room or suite number**  
1401 K St. NW Suite 200

**City or town, state, and ZIP code**  
Washington, DC 20005 -

**8 Type of report (check only one box)**

- First quarterly report (due by April 15)
- Second quarterly report (due by July 15)
- Third quarterly report (due by October 15)
- Year-end report (due by January 31)
- Mid-year report (Non-election year only-due by July 31)
- Monthly report for the month of: (due by the 20th day following the month shown above, except the December report, which is due by January 31)
- Pre-election report (due by the 12th or 15th day before the election)
  - (1) Type of election:
  - (2) Date of election:
  - (3) For the state of:
- Post-general election report (due by the 30th day after general election)
  - (1) Date of election:
  - (2) For the state of:

**9 Total amount of reported contributions (total from all attached Schedules A)..... 9. \$ 11011055**

**10 Total amount of reported expenditures (total from all attached Schedules B)..... 10. \$ 3768955**

Under penalties of perjury, I declare that I have examined this report, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Ben Metcalf

11/29/2011

**Sign Here**



Signature of authorized official



Date

<b>Contributor's name, mailing address and ZIP code</b> Astellas Pharma US, Inc. 822 Salem Drive Ballston Spa, NY 12020 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 250	<b>Amount of contribution</b> \$ 250 <b>Date of contribution</b> 06/01/2011
<b>Contributor's name, mailing address and ZIP code</b> Carol Adler 2861 SW Champlain Dr. Portland, OR 97205 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> Retired <b>Aggregate contributions year-to-date</b> \$ 400	<b>Amount of contribution</b> \$ 150 <b>Date of contribution</b> 05/15/2011
<b>Contributor's name, mailing address and ZIP code</b> HNTB Corporation 715 Kirk Drive Kansas City, MO 64105 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 100500	<b>Amount of contribution</b> \$ 250 <b>Date of contribution</b> 06/17/2011
<b>Contributor's name, mailing address and ZIP code</b> David Bohnett 245 South Beverly Drive Beverly Hills, CA 90212 -	<b>Name of contributor's employer</b> David Bohnett Foundation Baroda Ventures <b>Contributor's occupation</b> President <b>Aggregate contributions year-to-date</b> \$ 15000	<b>Amount of contribution</b> \$ 15000 <b>Date of contribution</b> 05/31/2011
<b>Contributor's name, mailing address and ZIP code</b> US Cellular 8410 W. Bryn Mawr Suite 700 Chicago, IL 60631 - 3486	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 165934	<b>Amount of contribution</b> \$ 165934 <b>Date of contribution</b> 06/29/2011
<b>Contributor's name, mailing address and ZIP code</b> Rittenhouse Consulting Group Bellevue, Suite 430 200 S. Broad Street Philadelphia, PA 19102 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 5000	<b>Amount of contribution</b> \$ 5000 <b>Date of contribution</b> 06/17/2011
<b>Contributor's name, mailing address and ZIP code</b> Marie Patterson 101 Elm Avenue, Apt. 3G Mount Vernon, NY 10550 -	<b>Name of contributor's employer</b> Finance Industry <b>Contributor's occupation</b> Software Engineer <b>Aggregate contributions year-to-date</b> \$ 360	<b>Amount of contribution</b> \$ 35 <b>Date of contribution</b> 06/03/2011
<b>Contributor's name, mailing address and ZIP code</b> PJM Interconnection 955 Jefferson Avenue Norristown, PA 19403 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 25250	<b>Amount of contribution</b> \$ 250 <b>Date of contribution</b> 06/01/2011
<b>Contributor's name, mailing address and ZIP code</b> Ceridian Corporation 3311 E. Old Shakopee Rd. Minneapolis, MN 55425 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 25000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 04/12/2011
<b>Contributor's name, mailing address and ZIP code</b> Alwilda Jaquish 9212 Appleford Circle, Apt 129 Owings Mills, MD 21117 -	<b>Name of contributor's employer</b> Stevenson University <b>Contributor's occupation</b> Medical Doctor <b>Aggregate contributions year-to-date</b> \$ 210	<b>Amount of contribution</b> \$ 25 <b>Date of contribution</b> 06/28/2011
<b>Contributor's name, mailing address and ZIP code</b> Marjorie L. Hoskinson 813 Old Farm Road Thousand Oaks, CA 91360 -	<b>Name of contributor's employer</b> LA Community College <b>Contributor's occupation</b> Teacher <b>Aggregate contributions year-to-date</b> \$ 1000	<b>Amount of contribution</b> \$ 500 <b>Date of contribution</b> 04/22/2011

# Political Organization Report of Contributions and Expenditures

▶ See separate instructions.

**A For the period beginning** 10/01/2010 **and ending** 11/22/2010

**B Check applicable box:**  Initial report  Change of address  Amended report  Final report

**1 Name of organization** Republican Governors Association **Employer identification number** 11 - 3655877

**2 Mailing address (P.O. box or number, street, and room or suite number)**  
1747 Pennsylvania NW Suite 250

**City or town, state, and ZIP code**  
Washington, DC 20006 -

**3 E-mail address of organization:** madams@rga.org **4 Date organization was formed:** 10/04/2002

**5a Name of custodian of records** Michael G. Adams **5b Custodian's address** 1747 Pennsylvania NW Suite 250  
Washington, DC 20006 -

**6a Name of contact person** Michael G. Adams **6b Contact person's address** 1747 Pennsylvania NW Suite 250  
Washington, DC 20006 -

**7 Business address of organization (if different from mailing address shown above). Number, street, and room or suite number**  
1747 Pennsylvania NW Suite 250

**City or town, state, and ZIP code**  
Washington, DC 20006 -

**8 Type of report (check only one box)**

- First quarterly report (due by April 15)
- Second quarterly report (due by July 15)
- Third quarterly report (due by October 15)
- Year-end report (due by January 31)
- Mid-year report (Non-election year only-due by July 31)
- Monthly report for the month of: (due by the 20th day following the month shown above, except the December report, which is due by January 31)
- Pre-election report (due by the 12th or 15th day before the election)
  - (1) Type of election:
  - (2) Date of election:
  - (3) For the state of:
- Post-general election report (due by the 30th day after general election)
  - (1) Date of election: 11/02/2010
  - (2) For the state of: DC

**9 Total amount of reported contributions (total from all attached Schedules A)** ..... **9. \$** 26108995

**10 Total amount of reported expenditures (total from all attached Schedules B)** ..... **10. \$** 57413818

Under penalties of perjury, I declare that I have examined this report, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Michael G. Adams

04/18/2011

**Sign Here**



Signature of authorized official



Date



<b>Contributor's name, mailing address and ZIP code</b> PJM INTERCONNECTION 955 JEFFERSON AVERNUE NORRISTOWN, PA 19403 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 25450	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 10/20/2010
<b>Contributor's name, mailing address and ZIP code</b> SWANSON VINEYARDS 1050 OAKVILLE CROSS RD OAKVILLE, CA 94562 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 2160	<b>Amount of contribution</b> \$ 2160 <b>Date of contribution</b> 10/15/2010
<b>Contributor's name, mailing address and ZIP code</b> CHRISTOPHER HARRIS 6311 LAKE CHASE COURT KATY, TX 77493 -	<b>Name of contributor's employer</b> KATY JSD <b>Contributor's occupation</b> SUBSTITUTE TEACHER <b>Aggregate contributions year-to-date</b> \$ 338	<b>Amount of contribution</b> \$ 5 <b>Date of contribution</b> 10/25/2010
<b>Contributor's name, mailing address and ZIP code</b> PRISON HEALTH SERVICES, INC 105 WESTPARK DRIVE SUITE 200 BRENTWOOD, TN 37027 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 46350	<b>Amount of contribution</b> \$ 1350 <b>Date of contribution</b> 11/02/2010
<b>Contributor's name, mailing address and ZIP code</b> AMGEN 1 AMGEN CENTER DR. THOUSAND OAKS, CA 91320 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 76350	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 10/20/2010
<b>Contributor's name, mailing address and ZIP code</b> ANDERSON JACKSON METTS 2 COLEMAN AVENUE CHERRY HILL, NJ 08034 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 5000	<b>Amount of contribution</b> \$ 5000 <b>Date of contribution</b> 10/05/2010
<b>Contributor's name, mailing address and ZIP code</b> GUSTAV BRAUN 3104 LITTLE CREEK LANE ALEXANDRIA, VA 22309 -	<b>Name of contributor's employer</b> RETIRED <b>Contributor's occupation</b> RETIRED <b>Aggregate contributions year-to-date</b> \$ 410	<b>Amount of contribution</b> \$ 50 <b>Date of contribution</b> 10/22/2010
<b>Contributor's name, mailing address and ZIP code</b> RUEDINGER FARMS INC W7222 CEMETARY ROAD VAN DYNE, WI 54979 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 1500	<b>Amount of contribution</b> \$ 1500 <b>Date of contribution</b> 10/22/2010
<b>Contributor's name, mailing address and ZIP code</b> ALTRIA CLIENT SERVICES 6601 WEST BROAD STREET RICHMOND, VA 23230 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 605000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 10/27/2010
<b>Contributor's name, mailing address and ZIP code</b> BGR GOVERNMENT AFFAIRS, LLC P.O. BOX 14416 WASHINGTON, DC 20044 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 17250	<b>Amount of contribution</b> \$ 450 <b>Date of contribution</b> 10/20/2010
<b>Contributor's name, mailing address and ZIP code</b> REYNOLDS AMERICAN PO BOX 464 WINSTON SALEM, NC 27102 -	<b>Name of contributor's employer</b> N/A <b>Contributor's occupation</b> N/A <b>Aggregate contributions year-to-date</b> \$ 260000	<b>Amount of contribution</b> \$ 40000 <b>Date of contribution</b> 10/18/2010

# Political Organization Report of Contributions and Expenditures

▶ See separate instructions.

**A For the period beginning** 07/01/2010 **and ending** 09/30/2010

**B Check applicable box:**  Initial report  Change of address  Amended report  Final report

**1 Name of organization** Democratic Governors Association **Employer identification number** 52 - 1304889

**2 Mailing address (P.O. box or number, street, and room or suite number)**  
1401 K Strteet, NW Suite 200

**City or town, state, and ZIP code**  
Washington, DC 20005 -

**3 E-mail address of organization:** metcalf@dga.net **4 Date organization was formed:** 01/01/1983

**5a Name of custodian of records** Ben Metcalf **5b Custodian's address** 1401 K Strteet, NW Suite 200  
Washington, DC 20005 -

**6a Name of contact person** Ben Metcalf **6b Contact person's address** 1401 K Strteet, NW Suite 200  
Washington, DC 20005 -

**7 Business address of organization (if different from mailing address shown above). Number, street, and room or suite number**  
1401 K Strteet, NW Suite 200

**City or town, state, and ZIP code**  
Washington, DC 20005 -

**8 Type of report (check only one box)**

- First quarterly report (due by April 15)
- Second quarterly report (due by July 15)
- Third quarterly report (due by October 15)
- Year-end report (due by January 31)
- Mid-year report (Non-election year only-due by July 31)
- Monthly report for the month of: (due by the 20th day following the month shown above, except the December report, which is due by January 31)
- Pre-election report (due by the 12th or 15th day before the election)
  - (1) Type of election:
  - (2) Date of election:
  - (3) For the state of:
- Post-general election report (due by the 30th day after general election)
  - (1) Date of election:
  - (2) For the state of:

**9 Total amount of reported contributions (total from all attached Schedules A)..... 9. \$ 9843765**

**10 Total amount of reported expenditures (total from all attached Schedules B)..... 10. \$ 19349729**

Under penalties of perjury, I declare that I have examined this report, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Ben Metcalf

10/15/2010

**Sign Here**



Signature of authorized official



Date

<b>Contributor's name, mailing address and ZIP code</b> Mary L. Bethely 274 Fairburn Road NW Atlanta, GA 30331 -	<b>Name of contributor's employer</b> Information Requested <b>Contributor's occupation</b> Information Requested <b>Aggregate contributions year-to-date</b> \$ 200	<b>Amount of contribution</b> \$ 100 <b>Date of contribution</b> 07/27/2010
<b>Contributor's name, mailing address and ZIP code</b> Martha Frank 4488 Hillside Drive Ann Arbor, MI 48105 -	<b>Name of contributor's employer</b> Information Requested <b>Contributor's occupation</b> Information Requested <b>Aggregate contributions year-to-date</b> \$ 300	<b>Amount of contribution</b> \$ 100 <b>Date of contribution</b> 07/30/2010
<b>Contributor's name, mailing address and ZIP code</b> John Noel III 3810 Bedford Avenue Nashville, TN 37215 -	<b>Name of contributor's employer</b> The John Noel Co. <b>Contributor's occupation</b> Attorney <b>Aggregate contributions year-to-date</b> \$ 330	<b>Amount of contribution</b> \$ 150 <b>Date of contribution</b> 09/24/2010
<b>Contributor's name, mailing address and ZIP code</b> SAS Institute Inc. SAS Campus Drive Cary, NC 27513 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 27554	<b>Amount of contribution</b> \$ 250 <b>Date of contribution</b> 09/16/2010
<b>Contributor's name, mailing address and ZIP code</b> Guthrie Swartz Po Box7196 Tahoe City, CA 96145 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> clergy <b>Aggregate contributions year-to-date</b> \$ 325	<b>Amount of contribution</b> \$ 100 <b>Date of contribution</b> 08/07/2010
<b>Contributor's name, mailing address and ZIP code</b> Walter O. Baker 20 Lakeview Terrace Drive Altoona, FL 32702 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> Retired <b>Aggregate contributions year-to-date</b> \$ 430	<b>Amount of contribution</b> \$ 10 <b>Date of contribution</b> 08/20/2010
<b>Contributor's name, mailing address and ZIP code</b> Martha O. Robertson 1655 Ellis Hollow Road Ithaca, NY 14850 - 9689	<b>Name of contributor's employer</b> Tompkins County <b>Contributor's occupation</b> Chair of the Legislature <b>Aggregate contributions year-to-date</b> \$ 425	<b>Amount of contribution</b> \$ 100 <b>Date of contribution</b> 07/30/2010
<b>Contributor's name, mailing address and ZIP code</b> Pat Stryker 262 East Mountain Avenue Fort Collins, CO 80524 -	<b>Name of contributor's employer</b> Selfemployed <b>Contributor's occupation</b> Philanthropist <b>Aggregate contributions year-to-date</b> \$ 25000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 07/13/2010
<b>Contributor's name, mailing address and ZIP code</b> PJM Interconnection 955 Jefferson Avenue Norristown, PA 19403 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 25500	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 09/30/2010
<b>Contributor's name, mailing address and ZIP code</b> Joseph Bolstad 1848 Collingswood Court Westlake Village, CA 91362 -	<b>Name of contributor's employer</b> Information Requested <b>Contributor's occupation</b> Information Requested <b>Aggregate contributions year-to-date</b> \$ 300	<b>Amount of contribution</b> \$ 200 <b>Date of contribution</b> 08/23/2010
<b>Contributor's name, mailing address and ZIP code</b> Frank Ellis 37751 Bear View Circle Murrieta, CA 92562 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> Retired <b>Aggregate contributions year-to-date</b> \$ 200	<b>Amount of contribution</b> \$ 200 <b>Date of contribution</b> 07/07/2010

# Political Organization Report of Contributions and Expenditures

▶ See separate instructions.

**A For the period beginning** 07/01/2009 **and ending** 12/31/2009

**B Check applicable box:**  Initial report  Change of address  Amended report  Final report

**1 Name of organization** Democratic Governors Association **Employer identification number** 52 - 1304889

**2 Mailing address (P.O. box or number, street, and room or suite number)**  
1401 K Strteet, NW Suite 200

**City or town, state, and ZIP code**  
Washington, DC 20005 -

**3 E-mail address of organization:** metcalf@dga.net **4 Date organization was formed:** 01/01/1983

**5a Name of custodian of records** Ben Metcalf **5b Custodian's address** 1401 K Strteet, NW Suite 200  
Washington, DC 20005 -

**6a Name of contact person** Ben Metcalf **6b Contact person's address** 1401 K Strteet, NW Suite 200  
Washington, DC 20005 -

**7 Business address of organization (if different from mailing address shown above). Number, street, and room or suite number**  
1401 K Strteet, NW Suite 200

**City or town, state, and ZIP code**  
Washington, DC 20005 -

**8 Type of report (check only one box)**

- First quarterly report (due by April 15)
- Second quarterly report (due by July 15)
- Third quarterly report (due by October 15)
- Year-end report (due by January 31)
- Mid-year report (Non-election year only-due by July 31)
- Monthly report for the month of: (due by the 20th day following the month shown above, except the December report, which is due by January 31)
- Pre-election report (due by the 12th or 15th day before the election)
  - (1) Type of election:
  - (2) Date of election:
  - (3) For the state of:
- Post-general election report (due by the 30th day after general election)
  - (1) Date of election:
  - (2) For the state of:

**9 Total amount of reported contributions (total from all attached Schedules A)..... 9. \$ 11675246**

**10 Total amount of reported expenditures (total from all attached Schedules B)..... 10. \$ 6668592**

Under penalties of perjury, I declare that I have examined this report, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Ben Metcalf

03/04/2010

**Sign Here**



Signature of authorized official



Date

<b>Contributor's name, mailing address and ZIP code</b> CONSOL Energy, Inc. CNX Center 1000 CONSOL Energy Drive Canonsburg, PA 15317 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 50000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 09/28/2009
<b>Contributor's name, mailing address and ZIP code</b> John Geise 4021 Glenrose Street Kensington, MD 20895 -	<b>Name of contributor's employer</b> Dept. of Justice <b>Contributor's occupation</b> Attorney <b>Aggregate contributions year-to-date</b> \$ 300	<b>Amount of contribution</b> \$ 100 <b>Date of contribution</b> 09/21/2009
<b>Contributor's name, mailing address and ZIP code</b> Citigroup Inc. 1101 Pennsylvania Avenue Suite 1000 Washington, DC 20004 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 1090	<b>Amount of contribution</b> \$ 545 <b>Date of contribution</b> 12/15/2009
<b>Contributor's name, mailing address and ZIP code</b> Amgen Amgen Center Thousand Oaks, CA 91320 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 135345	<b>Amount of contribution</b> \$ 10000 <b>Date of contribution</b> 11/03/2009
<b>Contributor's name, mailing address and ZIP code</b> Shell Oil Co. 1050 K Street, NW 700 Washington, DC 20001 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 37835	<b>Amount of contribution</b> \$ 545 <b>Date of contribution</b> 11/12/2009
<b>Contributor's name, mailing address and ZIP code</b> Watson Pharmaceuticals, Inc 2920 Terraza Place Fullerton, CA 92835 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 500	<b>Amount of contribution</b> \$ 250 <b>Date of contribution</b> 07/08/2009
<b>Contributor's name, mailing address and ZIP code</b> Advantage Capital Partners 7733 Forsyth Boulevard Suite 1850 Saint Louis, MO 63105 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 10000	<b>Amount of contribution</b> \$ 2500 <b>Date of contribution</b> 09/29/2009
<b>Contributor's name, mailing address and ZIP code</b> PJM Interconnection 955 Jefferson Avenue Norristown, PA 19403 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 25750	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 10/21/2009
<b>Contributor's name, mailing address and ZIP code</b> Tech Plot, Inc. 20 North Wacker Drive Chicago, IL 60606 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 5000	<b>Amount of contribution</b> \$ 5000 <b>Date of contribution</b> 09/08/2009
<b>Contributor's name, mailing address and ZIP code</b> David Klipstein 6440 Lusk Blvd. Suite D205 San Diego, CA 92121 -	<b>Name of contributor's employer</b> Reaction Design <b>Contributor's occupation</b> Engineer <b>Aggregate contributions year-to-date</b> \$ 500	<b>Amount of contribution</b> \$ 250 <b>Date of contribution</b> 12/31/2009
<b>Contributor's name, mailing address and ZIP code</b> Constance Mounce PO Box 534 Harleton, TX 75651 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> Retired <b>Aggregate contributions year-to-date</b> \$ 335	<b>Amount of contribution</b> \$ 50 <b>Date of contribution</b> 10/29/2009

# Political Organization Report of Contributions and Expenditures

▶ See separate instructions.

**A For the period beginning** 10/16/2008 **and ending** 11/24/2008

**B Check applicable box:**  Initial report  Change of address  Amended report  Final report

**1 Name of organization** Democratic Governors Association **Employer identification number** 52 - 1304889

**2 Mailing address (P.O. box or number, street, and room or suite number)**  
1401 K Strteet, NW Suite 200

**City or town, state, and ZIP code**  
Washington, DC 20005 -

**3 E-mail address of organization:** metcalf@dga.net **4 Date organization was formed:** 01/01/1983

**5a Name of custodian of records** Ben Metcalf **5b Custodian's address** 1401 K Strteet, NW Suite 200  
Washington, DC 20005 -

**6a Name of contact person** Ben Metcalf **6b Contact person's address** 1401 K Strteet, NW Suite 200  
Washington, DC 20005 -

**7 Business address of organization (if different from mailing address shown above). Number, street, and room or suite number**  
1401 K Strteet, NW Suite 200

**City or town, state, and ZIP code**  
Washington, DC 20005 -

**8 Type of report (check only one box)**

- First quarterly report (due by April 15)
- Second quarterly report (due by July 15)
- Third quarterly report (due by October 15)
- Year-end report (due by January 31)
- Mid-year report (Non-election year only-due by July 31)
- Monthly report for the month of: (due by the 20th day following the month shown above, except the December report, which is due by January 31)
- Pre-election report (due by the 12th or 15th day before the election)
  - (1) Type of election:
  - (2) Date of election:
  - (3) For the state of:
- Post-general election report (due by the 30th day after general election)
  - (1) Date of election: 11/04/2008
  - (2) For the state of: DC

**9 Total amount of reported contributions (total from all attached Schedules A)..... 9. \$ 2403845**

**10 Total amount of reported expenditures (total from all attached Schedules B)..... 10. \$ 1944023**

Under penalties of perjury, I declare that I have examined this report, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Ben Metcalf

12/04/2008

**Sign Here**



Signature of authorized official



Date

<b>Contributor's name, mailing address and ZIP code</b> Johnson Johnson One Johnson Johnson Plaza New Brunswick, NJ 08933 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 35295	<b>Amount of contribution</b> \$ 295 <b>Date of contribution</b> 10/16/2008
<b>Contributor's name, mailing address and ZIP code</b> International Bottled Water Association 5000 N Convent Lane Philadelphia, PA 19114 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 795	<b>Amount of contribution</b> \$ 545 <b>Date of contribution</b> 10/16/2008
<b>Contributor's name, mailing address and ZIP code</b> PJM Interconnection 955 Jefferson Avenue Norristown, PA 19403 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 25750	<b>Amount of contribution</b> \$ 750 <b>Date of contribution</b> 10/16/2008
<b>Contributor's name, mailing address and ZIP code</b> Darcy Anderson 4036 N 14th Place Phoenix, AZ 85014 -	<b>Name of contributor's employer</b> Kleinfelder <b>Contributor's occupation</b> Environmental Manager <b>Aggregate contributions year-to-date</b> \$ 300	<b>Amount of contribution</b> \$ 100 <b>Date of contribution</b> 10/30/2008
<b>Contributor's name, mailing address and ZIP code</b> Gerald Schwertfeger 14 Claremont Park Boston, MA 02118 -	<b>Name of contributor's employer</b> Information Requested <b>Contributor's occupation</b> Information Requested <b>Aggregate contributions year-to-date</b> \$ 325	<b>Amount of contribution</b> \$ 75 <b>Date of contribution</b> 10/23/2008
<b>Contributor's name, mailing address and ZIP code</b> Gary Reger 470, Orleans, 4th Floor Beaumont, TX 77701 -	<b>Name of contributor's employer</b> Orgain Bell <b>Contributor's occupation</b> Lawyer <b>Aggregate contributions year-to-date</b> \$ 500	<b>Amount of contribution</b> \$ 500 <b>Date of contribution</b> 10/16/2008
<b>Contributor's name, mailing address and ZIP code</b> Check into Cash of Wisconsin, LLC P.O. Box 550 Cleveland, TN 37364 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 2000	<b>Amount of contribution</b> \$ 2000 <b>Date of contribution</b> 11/12/2008
<b>Contributor's name, mailing address and ZIP code</b> Building Construction Trades 815 16th Street, NW, Suite 600 Washington, DC 20006 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 25000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 11/12/2008
<b>Contributor's name, mailing address and ZIP code</b> Hiscock Barclay 50 Beaver Street Albany, NY 12207 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 250	<b>Amount of contribution</b> \$ 250 <b>Date of contribution</b> 11/18/2008
<b>Contributor's name, mailing address and ZIP code</b> Tyco PO Box 5035 Boca Raton, FL 33431 - 0835	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 45500	<b>Amount of contribution</b> \$ 250 <b>Date of contribution</b> 10/16/2008
<b>Contributor's name, mailing address and ZIP code</b> Barr Laboratories, Inc. 2 Quaker Road Pomona, NY 10970 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 57000	<b>Amount of contribution</b> \$ 250 <b>Date of contribution</b> 11/18/2008

# Political Organization Report of Contributions and Expenditures

▶ See separate instructions.

**A** For the period beginning 07/01/2007 and ending 12/31/2007

**B** Check applicable box:  Initial report  Change of address  Amended report  Final report

**1** Name of organization: Democratic Governors Association  
**Employer identification number:** 52 - 1304889

**2** Mailing address (P.O. box or number, street, and room or suite number)  
1401 K Street, NW Suite 200

**City or town, state, and ZIP code**  
Washington, DC 20005 -

**3** E-mail address of organization: metcalf@dga.net  
**4** Date organization was formed: 01/01/1983

**5a** Name of custodian of records: Ben Metcalf  
**5b** Custodian's address: 1401 K Street, NW Suite 200  
Washington, DC 20005 -

**6a** Name of contact person: Ben Metcalf  
**6b** Contact person's address: 1401 K Street, NW Suite 200  
Washington, DC 20005 -

**7** Business address of organization (if different from mailing address shown above). Number, street, and room or suite number  
1401 K Street, NW Suite 200

**City or town, state, and ZIP code**  
Washington, DC 20005 -

**8** Type of report (check only one box)

- First quarterly report (due by April 15)
- Second quarterly report (due by July 15)
- Third quarterly report (due by October 15)
- Year-end report (due by January 31)
- Mid-year report (Non-election year only-due by July 31)
- Monthly report for the month of: (due by the 20th day following the month shown above, except the December report, which is due by January 31)
- Pre-election report (due by the 12th or 15th day before the election)
  - (1) Type of election:
  - (2) Date of election:
  - (3) For the state of:
- Post-general election report (due by the 30th day after general election)
  - (1) Date of election:
  - (2) For the state of:

**9** Total amount of reported contributions (total from all attached Schedules A)..... **9.** \$ 7414369

**10** Total amount of reported expenditures (total from all attached Schedules B)..... **10.** \$ 3666533

Under penalties of perjury, I declare that I have examined this report, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Ben Metcalf

01/31/2008

**Sign Here**



Signature of authorized official



Date



<b>Contributor's name, mailing address and ZIP code</b> Herb Tyson 1399 New York Avenue, NW Suite 720 Washington, DC 20005 -	<b>Name of contributor's employer</b> Dutko Worldwide <b>Contributor's occupation</b> Vice President <b>Aggregate contributions year-to-date</b> \$ 510	<b>Amount of contribution</b> \$ 225 <b>Date of contribution</b> 09/06/2007
<b>Contributor's name, mailing address and ZIP code</b> The Boeing Company 1200 Wilson Boulevard Arlington, VA 22209 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 20375	<b>Amount of contribution</b> \$ 10000 <b>Date of contribution</b> 11/30/2007
<b>Contributor's name, mailing address and ZIP code</b> PJM Interconnection 955 Jefferson Avenue Norristown, PA 19403 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 250	<b>Amount of contribution</b> \$ 250 <b>Date of contribution</b> 10/25/2007
<b>Contributor's name, mailing address and ZIP code</b> Motorola 3205 N Wilke Rd Arlington Hts, IL 60004 - 1437	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 90750	<b>Amount of contribution</b> \$ 20000 <b>Date of contribution</b> 10/09/2007
<b>Contributor's name, mailing address and ZIP code</b> Johnson Controls 507 East Michigan Street Milwaukee, WI 53202 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 51600	<b>Amount of contribution</b> \$ 475 <b>Date of contribution</b> 09/05/2007
<b>Contributor's name, mailing address and ZIP code</b> AstraZeneca Pharmaceuticals LP 1800 Concord Pike Wilmington, DE 19850 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 50285	<b>Amount of contribution</b> \$ 285 <b>Date of contribution</b> 10/25/2007
<b>Contributor's name, mailing address and ZIP code</b> Bank of America 125 Dupont Drive Providence, RI 02907 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 50000	<b>Amount of contribution</b> \$ 25000 <b>Date of contribution</b> 11/09/2007
<b>Contributor's name, mailing address and ZIP code</b> Rubber Manufacturers Association RMA 1400 K Street, NW Suite 900 Washington, DC 20005 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 10000	<b>Amount of contribution</b> \$ 10000 <b>Date of contribution</b> 10/18/2007
<b>Contributor's name, mailing address and ZIP code</b> Archer Daniels Midland Company P.O.Box 1470 Decatur, IL 62525 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 50475	<b>Amount of contribution</b> \$ 475 <b>Date of contribution</b> 09/05/2007
<b>Contributor's name, mailing address and ZIP code</b> Roam Secure 2009 North 14th Street Suite 510 Arlington, VA 22201 -	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 1500	<b>Amount of contribution</b> \$ 1500 <b>Date of contribution</b> 12/21/2007
<b>Contributor's name, mailing address and ZIP code</b> USAble Corp 601 Gaines Suite 700 PO Box 2135 Little Rock, AR 72203 - 2135	<b>Name of contributor's employer</b> NA <b>Contributor's occupation</b> NA <b>Aggregate contributions year-to-date</b> \$ 10000	<b>Amount of contribution</b> \$ 10000 <b>Date of contribution</b> 09/24/2007